

Heat and Mass Transfer (DI05019071)

Complete Lecture Deck

Milav Dabgar

Lecturer, GP Palanpur

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Course Agenda I

Fourier's Law

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 1: Fourier's Law

Lecture Agenda

- 1. Introduction to Heat Transfer
- 2. Modes of Heat Transfer
- 3. Introduction to Conduction
- 4. Fourier's Law of Heat Conduction
- 5. Assumptions of Fourier's Law
- 6. Concept of Temperature Gradient

What is Heat Transfer?

- Heat Transfer is the science that deals with the rate of exchange of thermal energy between physical systems.
- Driving Force: Temperature Difference.
- Thermodynamics vs. Heat Transfer:
 - - Thermodynamics deals with the amount of heat transferred and equilibrium states.
 - - Heat Transfer deals with the rate of heat transfer and non-equilibrium phenomena.

Modes of Heat Transfer

- Thermal energy can be transferred in three distinct modes:
- 1. Conduction: Transfer of energy from more energetic particles to adjacent less energetic ones (requires a medium).
- 2. Convection: Transfer of energy between a solid surface and the adjacent fluid that is in motion.
- 3. Radiation: Transfer of energy due to the emission of electromagnetic waves (no medium required).

Focus on Conduction

- Conduction occurs in solids, liquids, and gases.
- In Gases and Liquids: Due to collisions and diffusion of molecules during their random motion.
- In Solids: Due to the combination of lattice vibrations of molecules and energy transport by free electrons.

Fourier's Law of Heat Conduction

- The fundamental law governing heat conduction is Fourier's Law, proposed by Joseph Fourier in 1822.
- Statement: The rate of heat conduction is proportional to the area measured normal to the direction of heat flow, and to the temperature gradient in that direction.

Mathematical Formulation

- Mathematically, Fourier's Law is expressed as:

$$Q \propto -A \frac{dT}{dx}$$

$$Q = -kA \frac{dT}{dx}$$

- Where:
- Q : Rate of heat transfer (W)
- A : Cross-sectional area (m^2)
- dT/dx : Temperature gradient (K/m or $^{\circ}C/m$)
- k : Thermal conductivity (W/m·K)

The Negative Sign in Fourier's Law

- Why is there a negative sign in $Q = -kA\frac{dT}{dx}$?
- - Heat always flows in the direction of decreasing temperature (from hot to cold).
- - Therefore, the temperature gradient dT/dx is inherently negative in the direction of positive heat flow (x).
- - The negative sign is inserted to make the heat transfer rate Q a positive quantity.

Assumptions of Fourier's Law

- Fourier's Law is based on several underlying assumptions:
- 1. Conduction of heat takes place under steady-state conditions.
- 2. The heat flow is unidirectional (1D).
- 3. The temperature gradient is constant, leading to a linear temperature profile.
- 4. There is no internal heat generation within the body.
- 5. The bounding surfaces are isothermal.

Temperature Gradient

- Temperature Gradient (dT/dx): The rate of change of temperature with respect to distance.
- For a plane wall of thickness L and surface temperatures T_1 and T_2 :

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$$-\frac{dT}{dx} = \frac{T_2 - T_1}{L}$$

- Since $T_1 > T_2$, dT/dx is negative.

Applications of Fourier's Law

- Fourier's Law is used to calculate:
 - - Heat loss through building walls and roofs.
 - - Heat transfer across heat exchanger tubes.
 - - Thermal insulation required for pipes and furnaces.
 - - Cooling rates of electronic components.

Summary

- Heat transfer is driven by temperature differences.
- Conduction is the transfer of heat through a stationary medium.
- Fourier's Law dictates that $Q = -kA(dT/dx)$.
- The negative sign ensures Q is positive as heat flows down the temperature gradient.

Next Lecture Preview

- Topic: Thermal Conductivity and Thermal Resistance
- Key questions to ponder:
 - - What physical properties determine the value of ' k '?
 - - How does temperature affect thermal conductivity?
 - - Can we analyze heat transfer using electrical circuit concepts?

Thermal conductivity and thermal resistance

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 2: Thermal conductivity and thermal resistance

Lecture Agenda

- 1. Recap of Fourier's Law
- 2. Thermal Conductivity (k) Defined
- 3. Thermal Conductivity of Materials
- 4. Effect of Temperature on k
- 5. The Electrical Analogy
- 6. Concept of Thermal Resistance
- 7. Application to a Plane Wall

What is Thermal Conductivity (k)?

- From Fourier's Law: $k = \frac{Q/A}{-(dT/dx)}$
- Definition: Thermal conductivity is the amount of heat conducted per unit time across unit area and through unit thickness, when a temperature difference of unit degree is maintained.
- It is a thermophysical property of a material.
- High $k \rightarrow$ Good heat conductor.
- Low $k \rightarrow$ Good heat insulator.

Units and Dimensions of k

- Unit of Heat Transfer Rate (Q): Watts (W) or J/s
- Unit of Area (A): m^2
- Unit of Temperature Gradient (dT/dx): K/m or $^{\circ}\text{C}/\text{m}$
- Therefore, Unit of k :

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$$k = \frac{W}{m^2 \cdot (K/m)} = W/m \cdot K$$

- Dimensional Formula: $[M^1 L^1 T^{-3} \Theta^{-1}]$

Thermal Conductivity of Different Materials

- Order of Thermal Conductivity:
- Pure Metals $\searrow$ Alloys $\searrow$ Non-metallic Solids $\searrow$ Liquids $\searrow$ Gases
- Examples ($W/m \cdot K$ at room temp):
 - - Copper: ~ 400
 - - Aluminum: ~ 237
 - - Steel: ~ 15 to 50
 - - Water: ~ 0.6
 - - Air: ~ 0.026

Why are Metals Good Conductors?

- In solids, heat is conducted by two mechanisms:
- 1. Lattice vibration (k_l)
- 2. Transport by free electrons (k_e)
- Total $k = k_l + k_e$
- In pure metals, free electrons are abundant, making k_e dominant.
- In non-metals, there are no free electrons, so conduction is entirely due to lattice vibration (k_l).

Temperature Dependence of k

- Thermal conductivity is not strictly constant; it varies with temperature.
- Generally modeled as a linear function: $k = k_0(1 + \beta T)$
- Where:
 - - k_0 : Conductivity at reference temp (e.g., 0°C)
 - - β : Temperature coefficient of thermal conductivity
- For most pure metals, k decreases with increasing T ($\beta < 0$).
- For gases and insulating materials, k increases with increasing T ($\beta > 0$).

The Electrical Analogy

- Heat flow can be modeled similarly to electrical current flow.
- Ohm's Law (Electrical): $I = \frac{\Delta V}{R_e}$
- - I = Current (Flow)
- - ΔV = Voltage Difference (Driving Potential)
- - R_e = Electrical Resistance
- Fourier's Law (Thermal): $Q = \frac{\Delta T}{R_{th}}$
- - Q = Heat Flow Rate (Flow)
- - ΔT = Temperature Difference (Driving Potential)
- - R_{th} = Thermal Resistance

Concept of Thermal Resistance

- Rearranging Fourier's Law for a plane wall of thickness L :

$$Q = kA \frac{T_1 - T_2}{L}$$

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$$Q = \frac{T_1 - T_2}{L/(kA)}$$

- Comparing with $Q = \frac{\Delta T}{R_{th}}$, we get:

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$$R_{th} = \frac{L}{kA}$$

- Thermal Resistance to Conduction (R_{cond}) for a plane wall.

Thermal Circuit for a Plane Wall

- Drawing a thermal circuit helps visualize the problem.
- Nodes represent Temperatures (T_1, T_2).
- Resistors represent Thermal Resistance (R_{th}).
- Current represents Heat Flow (Q).

Significance of Thermal Resistance

- - Simplifies complex heat transfer problems (e.g., composite walls, cylinders).
- - Allows analysis of heat transfer networks in series and parallel.
- - Identifies the 'bottleneck' in heat transfer (the layer with the highest resistance dictates the overall heat flow).
- - Essential for designing insulation systems.

Summary

- Thermal conductivity (k) is a material property defining heat conduction capability.
- Metals have high k due to free electrons; gases have low k .
- The electrical analogy maps Heat Flow (Q) to Current (I) and Temperature Difference (ΔT) to Voltage (ΔV).
- Conduction thermal resistance for a plane wall is $R = L/(kA)$.

Next Lecture Preview

- Topic: Derivation of General Heat Conduction Equation
- Key questions to ponder:
 - - How do we model heat transfer in 3-dimensions?
 - - What if the material is generating heat internally?
 - - How is the general equation reduced to 1D steady state?

Derivation of one-dimensional steady state conduction

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 3: General Heat Conduction Equation

Lecture Agenda

- 1. Need for a General Equation
- 2. The Control Volume and Energy Balance
- 3. Heat Flux Components (x , y , z directions)
- 4. Internal Heat Generation and Storage
- 5. General 3D Conduction Equation
- 6. Simplified Forms (Poisson, Laplace, Fourier)
- 7. Reduction to 1D Steady State Equation

Why a General Equation?

- Fourier's Law $Q = -kA(dT/dx)$ is sufficient for simple 1D steady-state problems.
- However, real problems can involve:
 - - Multi-dimensional heat flow (x , y , and z).
 - - Unsteady (transient) conditions where temperature changes with time.
 - - Internal heat generation (e.g., electrical heaters, chemical reactions, nuclear fuel rods).
- We need a differential equation that governs temperature distribution $T(x, y, z, t)$ in a medium.

The Control Volume

- Consider a small differential element (Control Volume) in a Cartesian coordinate system.
- Dimensions: dx , dy , dz .
- Volume of element: $dV = dx \cdot dy \cdot dz$.
- Heat is conducted into and out of this element across its six faces.

Energy Balance Equation

- Based on the First Law of Thermodynamics (Conservation of Energy):
- (Rate of heat conducted IN)
- + (Rate of heat GENERATED internally)
- = (Rate of heat conducted OUT)
- + (Rate of energy STORED)
-

$$Q_{in} + Q_{gen} = Q_{out} + Q_{stored}$$

Heat Flow in X-Direction

- Heat entering left face (at x): $Q_x = -k(dy \cdot dz) \frac{\partial T}{\partial x}$
- Heat leaving right face (at $x + dx$): Using Taylor Series expansion (neglecting higher order terms):

$$Q_{x+dx} = Q_x + \frac{\partial Q_x}{\partial x} dx$$

- Net heat accumulated in x-direction:

$$Q_{x+dx} - Q_x = \frac{\partial Q_x}{\partial x} dx$$

Heat Flow in Y and Z Directions

- Similarly, net heat accumulated in y and z directions:
- Y-direction:
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$$Q_{-y} - Q_{+y} + \dot{Q}_y = \frac{\partial}{\partial y} \left(k \frac{\partial T}{\partial y} \right) dy$$

- Z-direction:
-

$$Q_{-z} - Q_{+z} + \dot{Q}_z = \frac{\partial}{\partial z} \left(k \frac{\partial T}{\partial z} \right) dz$$

Heat Generation and Energy Storage

- Internal Heat Generation (Q_{gen}):
- Let q_g be heat generated per unit volume (W/m^3).
-

$$Q_{gen} = q_g (dx \cdot dy \cdot dz)$$

- Energy Storage (Q_{stored}):
- Rate of change of internal energy.
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$$Q_{stored} = m \cdot C_p \cdot \frac{\partial T}{\partial t} = (\rho \cdot dx \cdot dy \cdot dz) \cdot C_p \cdot \frac{\partial T}{\partial t}$$

- where ρ is density, C_p is specific heat, t is time.

General 3D Conduction Equation

- Substitute all terms back into the energy balance equation and divide by volume ($dx \cdot dy \cdot dz$):



$$\frac{\partial}{\partial x} \left(k \frac{\partial T}{\partial x} \right) + \frac{\partial}{\partial y} \left(k \frac{\partial T}{\partial y} \right) + \frac{\partial}{\partial z} \left(k \frac{\partial T}{\partial z} \right) + \dot{q} = \rho c_p \frac{\partial T}{\partial t}$$

- If thermal conductivity (k) is constant (isotropic material):



$$\frac{\partial^2 T}{\partial x^2} + \frac{\partial^2 T}{\partial y^2} + \frac{\partial^2 T}{\partial z^2} + \frac{\dot{q}}{k} = \frac{1}{\alpha} \frac{\partial T}{\partial t}$$

Thermal Diffusivity (α)

- Thermal Diffusivity, $\alpha = \frac{k}{\rho C_p}$
- - Units: m^2/s
- - Physical Meaning: Ratio of heat conducted (k) to heat stored (ρC_p).
- - High α : Heat propagates rapidly into the medium (e.g., metals).
- - Low α : Heat is mostly absorbed by the material, slow propagation (e.g., wood, insulation).
- Equation becomes: $\nabla^2 T + \frac{q_g}{k} = \frac{1}{\alpha} \frac{\partial T}{\partial t}$

Simplified Forms of the Equation

- 1. Steady-state ($\partial T / \partial t = 0$): Poisson's Equation



$$\nabla^2 T + \frac{q_g}{k} = 0$$

- 2. No heat generation ($q_g = 0$): Fourier's Equation (Transient)



$$\nabla^2 T = \frac{1}{\alpha} \frac{\partial T}{\partial t}$$

- 3. Steady-state & No generation: Laplace Equation



$$\nabla^2 T = 0$$

Reduction to 1D Steady State Conduction

- For 1-Dimensional (x-direction only), Steady-State, No internal heat generation:
- - 1D: $\partial^2 T / \partial y^2 = 0, \partial^2 T / \partial z^2 = 0$
- - Steady-state: $\partial T / \partial t = 0$
- - No generation: $q_g = 0$
- The general equation reduces to:

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$$\frac{d^2 T}{dx^2} = 0$$

- This is the governing equation for a simple plane wall.

Next Lecture Preview

- Topic: Heat Conduction through a Plane Wall and Composite Systems
- Key questions to ponder:
 - - How do we apply the 1D steady state equation to real walls?
 - - What happens when a wall is made of multiple layers of different materials?
 - - How does the electrical analogy make solving composite walls easy?

Heat Conduction through Plane and Composite Walls

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 4: Heat Conduction through Plane and Composite Walls

Lecture Agenda

- 1. Temperature Profile in a Plane Wall
- 2. Thermal Resistance Review
- 3. Concept of Composite Walls
- 4. Thermal Circuit for Composite Walls
- 5. Convection at Boundaries
- 6. Overall Heat Transfer Coefficient (U)
- 7. Thermal Contact Resistance

Conduction in a Plane Wall

- Governing Equation: $\frac{d^2T}{dx^2} = 0$
- Integrating once: $\frac{dT}{dx} = C_1$
- Integrating twice: $T(x) = C_1x + C_2$
- Boundary Conditions:
 - 1. At $x = 0$, $T = T_1$
 - 2. At $x = L$, $T = T_2$

Temperature Profile

- Applying boundary conditions to $T(x) = C_1x + C_2$:
- - From BC 1: $C_2 = T_1$
- - From BC 2: $T_2 = C_1L + T_1 \Rightarrow C_1 = \frac{T_2 - T_1}{L}$
- Resulting Temperature Profile:
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$$T(x) = T_1 - (T_1 - T_2)\frac{x}{L}$$

- Conclusion: The temperature distribution in a plane wall at steady state is linear.

Heat Rate through Plane Wall

- Using Fourier's Law: $Q = -kA \frac{dT}{dx}$
- Since $\frac{dT}{dx} = \frac{T_2 - T_1}{L}$, then:

-

$$Q = -kA \frac{T_2 - T_1}{L} = kA \frac{T_1 - T_2}{L}$$

- In terms of Thermal Resistance ($R_{th} = L/kA$):

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$$Q = \frac{T_1 - T_2}{R_{th}}$$

Composite Walls

- Most real-world structures are composite (multiple layers in series).
- Example: A building wall consisting of brick, insulation, and plasterboard.
- Key Principle: At steady state, the rate of heat transfer (Q) is the SAME through all layers in series.
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$$Q = Q_A = Q_B = Q_C$$

Thermal Circuit for Composite Walls

- For a 3-layer wall (A, B, C) with interface temperatures T_1, T_2, T_3, T_4 :
- Total Resistance $R_{total} = R_A + R_B + R_C$

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$$R_{total} = \frac{L_A}{k_A A} + \frac{L_B}{k_B A} + \frac{L_C}{k_C A}$$

•

$$Q = \frac{T_1 - T_4}{R_{total}}$$

Adding Convection Boundaries

- Surfaces are usually exposed to fluids (air, water) at temperatures $T_{\infty 1}$ and $T_{\infty 2}$.
- Convection Heat Transfer (Newton's Law of Cooling):

-

$$Q = hA(T_{\text{surface}} - T_{\text{fluid}})$$

- Convection Resistance:

-

$$R_{\text{conv}} = \frac{1}{hA}$$

- Where h is the convective heat transfer coefficient ($\text{W}/\text{m}^2 \cdot \text{K}$).

Comprehensive Thermal Circuit

- Wall with fluid on both sides:
- $R_{total} = R_{conv,in} + R_{wall} + R_{conv,out}$

- $$R_{total} = \frac{1}{h_{in}A} + \frac{L}{kA} + \frac{1}{h_{out}A}$$

- $$Q = \frac{T_{\infty, in} - T_{\infty, out}}{R_{total}}$$

Overall Heat Transfer Coefficient (U)

- It is convenient to express heat transfer as:



$$Q = U A n \Delta T_{overall}$$

- Where U is the Overall Heat Transfer Coefficient ($W/m^2 \cdot K$).
- Comparing with $Q = \Delta T / R_{total}$, we get:



$$UA = n \frac{1}{R_{total}} \rightarrow U = \frac{1}{A n \cdot R_{total}}$$

- For a plane wall: $U = \frac{1}{\frac{1}{h_{in}} + \frac{L}{k} + \frac{1}{h_{out}}}$

Thermal Contact Resistance

- When two solid surfaces are pressed together, they only touch at discrete high spots due to microscopic roughness.
- The gaps are filled with air (a poor conductor).
- This creates a resistance to heat flow at the interface, called Thermal Contact Resistance ($R_{t,c}$).
- Results in a sudden temperature drop ($\Delta T_{interface}$) across the junction.

Summary

- Temperature profile in a 1D steady-state plane wall is linear.
- Composite walls are analyzed using series thermal resistance networks.
- Convection at boundaries adds a resistance of $1/(hA)$.
- The Overall Heat Transfer Coefficient (U) represents the total thermal conductance.
- Contact resistance accounts for imperfect mating of surfaces.

Next Lecture Preview

- Topic: Critical radius of insulation for a cylinder
- Key questions to ponder:
 - - Does adding insulation always reduce heat loss?
 - - How is conduction through a cylinder different from a plane wall?
 - - What happens to the surface area as you add layers to a pipe?

Critical radius of insulation for a cylinder

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 5: Critical radius of insulation for a cylinder

Lecture Agenda

- 1. Conduction in Radial Systems (Cylinders)
- 2. Thermal Resistance of a Cylinder
- 3. Adding Insulation: Plane Wall vs. Cylinder
- 4. The Competing Effects in a Cylinder
- 5. Definition of Critical Radius
- 6. Mathematical Derivation of r_c
- 7. Practical Applications

Conduction through a Hollow Cylinder

- Consider a hollow cylinder (pipe) of length L , inner radius r_1 , outer radius r_2 .
- Heat flows radially outwards.
- Fourier's Law in cylindrical coordinates (1D radial):
-

$$Q = -kA n \frac{dT}{dr}$$

- Important: The area A is not constant! It varies with radius r .
- Surface area at radius r : $A = 2\pi rL$

Thermal Resistance of a Cylinder

- Substitute $A = 2\pi rL$ into Fourier's Law:



$$Q = -k(2\pi rL) \frac{dT}{dr}$$

- Separate variables and integrate from r_1 to r_2 and T_1 to T_2 :



$$Q \int_{r_1}^{r_2} \frac{dr}{r} = -2\pi kL \int_{T_1}^{T_2} dT$$



$$Q = \frac{2\pi kL(T_1 - T_2)}{\ln(r_2/r_1)}$$

- Thermal Resistance: $R_{cyl} = \frac{\ln(r_2/r_1)}{2\pi kL}$

Adding Insulation: Plane Wall vs Cylinder

- Plane Wall:
- Adding insulation increases thickness (L).
- Conduction resistance (L/kA) increases.
- Outer area (A) stays constant, so convection resistance ($1/hA$) is constant.
- Result: Total resistance ALWAYS increases. Heat loss ALWAYS decreases.
- Cylinder:
- Adding insulation increases outer radius (r_2).

The Competing Effects in a Cylinder

- When you add insulation to a pipe (increasing outer radius r_0):
- 1. Conduction Resistance ($R_{cond} = \frac{\ln(r_0/r_i)}{2\pi kL}$) INCREASES.
 - - Tends to decrease heat transfer.
- 2. Convection Resistance ($R_{conv} = \frac{1}{h(2\pi r_0 L)}$) DECREASES (because outer surface area $A = 2\pi r_0 L$ increases).
 - - Tends to increase heat transfer.
- Which effect dominates?

Total Thermal Resistance

- Consider a pipe covered with insulation of outer radius r .
- Total Resistance from pipe surface to ambient air:
-

$$R_{total} = R_{insulation} + R_{convection}$$

- $$R_{total} = \frac{\ln(r/r_i)}{2\pi k L} + \frac{1}{h(2\pi r L)}$$

- Where k is insulation conductivity and h is outside convection coefficient.
- Heat transfer $Q = \frac{\Delta T}{R_{total}}$.

Finding the Critical Radius

- To find the radius where heat transfer is maximum, we minimize total resistance.
- Differentiate R_{total} with respect to r and equate to zero:

$$\frac{dR_{total}}{dr} = \frac{1}{2\pi k L r} - \frac{1}{h 2\pi L r^2} = 0$$

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- Solving for r , we get the Critical Radius (r_c):

$$r_c = \frac{k}{h}$$

Understanding the Critical Radius Graph

- Plot of Heat Transfer (Q) vs. Outer Radius (r):
 - - The curve starts at bare pipe radius r_i .
 - - It rises to a maximum peak at $r = r_c$.
 - - It then continuously decreases for $r > r_c$.
- Behavior:
 - If $r_i < r_c$: Adding insulation initially INCREASES heat loss up to r_c .
 - If $r_i \geq r_c$: Adding insulation DECREASES heat loss immediately.

Physical Significance

- Why does Q increase initially if $r_i < r_c$?
- Because for small radii, the increase in surface area (decreasing convection resistance) overwhelms the added thermal resistance of the insulation.
- Beyond r_c , the added conduction resistance becomes dominant, and heat loss drops.
- Maximum heat loss occurs exactly at $r = r_c$.

Application: Electrical Cables

- Goal: Maximize heat dissipation (prevent overheating).
- Electrical wires are very thin (radius r_i is very small, usually $r_i < r_c$).
- Adding plastic/rubber insulation (k is small, but h for natural convection is also small, making $r_c = k/h$ larger than the wire).
- Result: The plastic coating on wires actually HELPS cool the wire while providing electrical safety!

Application: Steam Pipes

- Goal: Minimize heat loss (save energy).
- Steam pipes are large (radius r_i is large, usually $r_i > r_c$).
- Since the bare pipe is already larger than the critical radius, ANY insulation added will immediately decrease heat loss.
- Result: Insulating large pipes is always effective for conserving heat.

Summary & Next Lecture

- Thermal resistance of a cylinder is logarithmic:
 $R = \ln(r_2/r_1)/(2\pi kL)$.
- Critical radius of insulation for a cylinder is $r_c = k/h$.
- If $r < r_c$, adding insulation increases heat transfer.
- Next time: We will wrap up Unit 1 with Overall Heat Transfer Coefficient analysis for cylindrical systems and look at extended surfaces (Fins).

Heat Conduction through Plain Wall

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 6: Heat Conduction through Plain Wall

Lecture Agenda

- 1. 1D Steady State Conduction
- 2. The Plane Wall Model
- 3. Applying Fourier's Law
- 4. Temperature Distribution
- 5. Thermal Resistance Concept
- 6. Electrical Analogy

1D Steady State Conduction

- Assumptions for our model:
 - - Steady State: Temperature does not change with time.
 - - One-Dimensional: Heat flows in only one direction (x).
 - - No Internal Heat Generation.
 - - Constant Thermal Conductivity (k).

The Plane Wall Model

- Consider a plane wall of thickness L .
- Area A is perpendicular to the direction of heat transfer.
- Temperatures at surfaces are T_1 (at $x=0$) and T_2 (at $x=L$).
- Assume $T_1 > T_2$, so heat flows in the positive x direction.

Applying Fourier's Law

- Fourier's Law: $q_x = -k * A * (dT/dx)$
- Since conditions are steady, the heat transfer rate (q_x) is constant throughout the wall.
- Separating variables: $q_x * dx = -k * A * dT$

Temperature Distribution (Derivation)

- Integrate from $x=0$ to $x=L$, and $T=T_1$ to $T=T_2$:
- $q_x * (0 \text{ to } L) dx = -k * A * (T_1 \text{ to } T_2) dT$
- $q_x * L = -k * A * (T_2 - T_1)$
- $q_x = k * A * (T_1 - T_2) / L$

Temperature Profile Characteristics

- The temperature distribution $T(x)$ can be found by integrating to an arbitrary x :
- $T(x) = T_1 - (T_1 - T_2) * (x / L)$
- The profile is linear.
- Slope dT/dx is constant.

Thermal Resistance Concept

- Rearranging the heat rate equation:
- $q_x = (T_1 - T_2) / (L / kA)$
- Let $R_{th} = L / (k * A)$
- R_{th} is the thermal resistance to conduction.
- Units: $^{\circ}\text{C}/\text{W}$ or K/W

Electrical Analogy

- Ohm's Law: $I = \Delta V / R_{\text{elec}}$
- Thermal Equivalent: $q_x = \Delta T / R_{\text{th}}$
- Current (I) $\leftrightarrow$ Heat Rate (q_x)
- Voltage drop (ΔV) $\leftrightarrow$ Temperature drop (ΔT)
- Electrical Resistance (R_{elec}) $\leftrightarrow$ Thermal Resistance (R_{th})

Example Problem Introduction

- Problem: A glass window ($k = 0.78 \text{ W/m}\cdot\text{K}$) is 2 m by 1.5 m and 5 mm thick.
- Temperatures at the inner and outer surfaces are 15°C and -5°C .
- Find the rate of heat loss through the window.

Example Problem Solution

- Area $A = 2 * 1.5 = 3 \text{ m}^2$
- Thickness $L = 0.005 \text{ m}$
- $\Delta T = 15 - (-5) = 20 \text{ K}$
- $R_{th} = L / (k * A) = 0.005 / (0.78 * 3) = 0.00214 \text{ K/W}$
- $q = \Delta T / R_{th} = 20 / 0.00214 = 9346 \text{ W} = 9.35 \text{ kW}$

Summary

- 1D steady-state conduction in a plane wall yields a linear temperature profile.
- Heat transfer rate is proportional to ΔT and area, inversely proportional to thickness.
- Thermal resistance ($R_{th} = L/kA$) allows us to use an electrical analogy for heat transfer.

Next Lecture Preview

- Topic: Heat Conduction through Composite Walls
- What happens when a wall is made of multiple layers of different materials?
- We will use the electrical analogy to build series thermal circuits.

Heat Conduction through Composite Walls

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 7: Heat Conduction through Composite Walls

Lecture Agenda

- 1. Recap of Thermal Resistance
- 2. Introduction to Composite Walls
- 3. Series Thermal Circuit
- 4. Overall Heat Transfer Rate Equation
- 5. Temperature Drop Across Layers
- 6. Thermal Contact Resistance

Introduction to Composite Walls

- Most practical walls are not made of a single material.
- Examples: house walls (brick, insulation, wood), furnace walls (firebrick, insulating brick, steel).
- Heat must flow sequentially through each layer.
- This is equivalent to electrical resistors in series.

Series Thermal Circuit

- Consider a wall with 3 layers: A, B, and C.
- Thicknesses: L_A , L_B , L_C . Conductivities: k_A , k_B , k_C .
- The same heat (q_x) flows through all layers.
- Total Resistance $R_{\text{total}} = R_A + R_B + R_C$
- $R_{\text{total}} = (L_A/k_A A) + (L_B/k_B A) + (L_C/k_C A)$

Overall Heat Transfer Rate

- Using the overall temperature difference:
- $q_x = (T_{\text{inner}} - T_{\text{outer}}) / R_{\text{total}}$
- $q_x = (T_1 - T_4) / [(L_A/k_{AA}) + (L_B/k_{BA}) + (L_C/k_{CA})]$
- This assumes perfect thermal contact between layers.

Temperature Drop Across Layers

- Once q_x is known, intermediate temperatures can be found.
- $q_x = (T_1 - T_2) / R_A \Rightarrow T_2 = T_1 - q_x * R_A$
- $q_x = (T_2 - T_3) / R_B \Rightarrow T_3 = T_2 - q_x * R_B$
- Larger resistance = larger temperature drop.

Thermal Contact Resistance

- In reality, surfaces are rough.
- When two solid surfaces are pressed together, they touch only at high spots.
- Gaps are filled with air, which is a poor conductor.
- This creates an additional resistance at the interface: Contact Resistance ($R_{t,c}$).

Accounting for Contact Resistance

- Contact resistance $R_{t,c} = 1 / (h_c * A)$
- where h_c is the contact conductance.
- It is simply added to the series circuit.
- $R_{total} = R_A + R_{t,c} + R_B$

Reducing Contact Resistance

- How to minimize it:
 - 1. Increase joint pressure.
 - 2. Decrease surface roughness (polish).
 - 3. Use thermal grease or conducting paste to displace air in gaps.
 - 4. Insert a soft metallic foil (e.g., tin, silver).

Example Problem Introduction

- A furnace wall consists of 200 mm firebrick ($k=1.2 \text{ W/mK}$) and 50 mm insulating brick ($k=0.15 \text{ W/mK}$).
- Inner temperature is 1000°C , outer is 40°C .
- Calculate the heat loss per unit area.

Example Problem Solution

- $R_{\text{firebrick}} = 0.2 / 1.2 = 0.167 \text{ (K m}^2\text{/W)}$
- $R_{\text{insulation}} = 0.05 / 0.15 = 0.333 \text{ (K m}^2\text{/W)}$
- $R_{\text{total}} = 0.167 + 0.333 = 0.500 \text{ (K m}^2\text{/W)}$
- $q/A = (1000 - 40) / 0.500 = 1920 \text{ W/m}^2$

Summary

- Composite walls are modeled as series thermal circuits.
- Total resistance is the sum of individual layer resistances.
- Intermediate temperatures depend on the resistance distribution.
- Contact resistance must be considered for rough interfaces.

Next Lecture Preview

- Topic: Heat Conduction through Cylinders
- How does heat transfer change when the area is not constant?
- We'll explore radial heat conduction in pipes and tubes.

Heat Conduction through Cylinders

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 8: Heat Conduction through Cylinders

Lecture Agenda

- 1. 1D Conduction in a Cylinder
- 2. Fourier's Law in Radial Direction
- 3. Temperature Distribution (Derivation)
- 4. Logarithmic Temperature Profile
- 5. Thermal Resistance of a Cylinder
- 6. Composite Cylindrical Walls

1D Conduction in a Cylinder

- Many applications involve cylindrical geometries: pipes, tubes, wires.
- If a pipe is long and temperatures depend only on radius (r), it's 1D radial conduction.
- Unlike a plane wall, the heat transfer area $A = 2\pi rL$ changes with radius r .

Fourier's Law in Radial Direction

- Fourier's Law: $q_r = -k * A * (dT/dr)$
- Substitute $A = 2\pi rL$:
- $q_r = -k * (2\pi rL) * (dT/dr)$
- At steady state, the heat transfer rate q_r is constant.

Temperature Distribution (Derivation)

- Separate variables and integrate from r_1 to r_2 :
- $q_r * (1/r) dr = -k * 2\pi L * dT$
- $q_r * \ln(r_2/r_1) = k * 2\pi L * (T_1 - T_2)$
- $q_r = 2\pi L k * (T_1 - T_2) / \ln(r_2/r_1)$

Logarithmic Temperature Profile

- Solving for $T(r)$ gives:
- $T(r) = T_1 - (T_1 - T_2) * [\ln(r/r_1) / \ln(r_2/r_1)]$
- The temperature profile is logarithmic, not linear.
- The slope dT/dr decreases as r increases because area increases.

Thermal Resistance of a Cylinder

- Rearranging the heat rate equation to $q_r = \Delta T / R_{th}$:
- $R_{th_cyl} = \ln(r_2/r_1) / (2\pi Lk)$
- This is the radial thermal resistance.
- It depends on the ratio of radii, not just the thickness.

Composite Cylindrical Walls

- Example: A pipe covered with a layer of insulation.
- Inner pipe (r_1 to r_2 , k_{pipe}) and insulation (r_2 to r_3 , k_{ins}).
- Just like plane walls, these resistances are in series.
- Total Resistance $R_{\text{total}} = R_{\text{pipe}} + R_{\text{insulation}}$

Series Circuit for Cylinders

- $R_{\text{total}} = [\ln(r_2/r_1) / 2\pi L k_{\text{pipe}}] + [\ln(r_3/r_2) / 2\pi L k_{\text{ins}}]$
- $q_r = (T_{\text{inner}} - T_{\text{outer}}) / R_{\text{total}}$
- Often, the pipe resistance is very small compared to the insulation and can be neglected for metals.

Example Problem Introduction

- A steel pipe ($k=50 \text{ W/mK}$) with inner radius 50 mm, outer 60 mm.
- Covered with 40 mm of insulation ($k=0.05 \text{ W/mK}$).
- Inner surface is 200°C , outer surface is 30°C .
- Calculate heat loss per meter of pipe length ($L=1\text{m}$).

Example Problem Solution

- $r_1=0.05\text{m}$, $r_2=0.06\text{m}$, $r_3=0.10\text{m}$.
- $R_{\text{pipe}} = \ln(0.06/0.05) / (2\pi \cdot 1 \cdot 50) = 0.00058 \text{ K/W}$
- $R_{\text{ins}} = \ln(0.10/0.06) / (2\pi \cdot 1 \cdot 0.05) = 1.625 \text{ K/W}$
- $R_{\text{total}} = 1.6256 \text{ K/W}$
- $q/L = (200 - 30) / 1.6256 = 104.6 \text{ W/m}$

Summary

- Radial conduction has a non-constant area.
- The temperature profile in a cylinder is logarithmic.
- Cylindrical thermal resistance is $\ln(r_2/r_1) / (2\pi Lk)$.
- Composite cylinders can be analyzed using series thermal circuits.

Next Lecture Preview

- Topic: Critical Radius of Insulation
- Does adding insulation to a pipe ALWAYS decrease heat loss?
- We'll explore a counter-intuitive phenomenon regarding cylinders and convection.

Critical Radius of Insulation

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 9: Critical radius of insulation for a cylinder

Lecture Agenda

- 1. The Paradox of Adding Insulation
- 2. Competing Effects: Conduction vs. Convection
- 3. Thermal Resistance Network
- 4. Deriving the Critical Radius
- 5. Physical Significance
- 6. Application: Cables vs. Steam Pipes

The Paradox of Adding Insulation

- For a plane wall: Adding insulation ALWAYS increases thermal resistance and decreases heat transfer.
- For a cylinder: Adding insulation adds conduction resistance, BUT it also increases the outer surface area.
- Larger outer area means lower convection resistance at the surface.
- Question: Which effect dominates?

Thermal Resistance Network

- Consider a pipe of radius r_1 , coated with insulation up to outer radius r .
- Surrounding fluid has convection coefficient h .
- $R_{\text{total}} = R_{\text{cond_insulation}} + R_{\text{conv_outer}}$
- $R_{\text{total}} = [\ln(r/r_1) / (2\pi Lk)] + [1 / (h*2\pi rL)]$

Total Resistance vs. Radius

- Let's plot R_{total} as a function of the outer insulation radius (r).
- Initially, R_{total} decreases because the drop in convection resistance is faster than the rise in conduction resistance.
- It reaches a minimum, then begins to increase.
- Minimum resistance = Maximum heat transfer!

Deriving Critical Radius

- To find the minimum, take the derivative of R_{total} with respect to r and set it to zero.
- $d(R_{\text{total}})/dr = [1 / (2\pi Lkr)] - [1 / (h*2\pi Lr^2)] = 0$
- $1/(kr) = 1/(hr^2)$
- $r = k / h$

The Critical Radius (r_c)

- Critical radius of insulation: $r_c = k / h$
- Where k is the thermal conductivity of the INSULATION.
- h is the convection coefficient of the SURROUNDING FLUID.
- For a sphere, $r_c = 2k / h$ (derived similarly).

Physical Significance of r_c

- If $r_{outer} < r_c$: Adding insulation INCREASES heat transfer (acts as a cooling fin).
- If $r_{outer} = r_c$: Heat transfer is MAXIMUM.
- If $r_{outer} > r_c$: Adding insulation DECREASES heat transfer (acts as an insulator).

Application: Electrical Cables

- Electrical wires are very small (radius $\ll r_c$).
- The plastic/rubber coating (insulation) often has an outer radius smaller than r_c .
- Result: The 'insulation' actually helps cool the wire and prevents it from melting due to electrical resistance heating.
- It provides electrical insulation but thermal enhancement!

Application: Steam Pipes

- Steam pipes are large (radius $\gg r_c$).
- Adding ANY amount of insulation to a steam pipe will immediately increase the thermal resistance.
- Result: For large pipes, insulation always acts to conserve heat.
- We don't need to worry about r_c for large industrial pipes.

Example Problem

- A 2 mm diameter wire is coated with 1 mm plastic ($k = 0.15$ W/mK).
- Surrounding air $h = 15$ W/m²K.
- Calculate the critical radius and determine if the plastic increases or decreases heat dissipation.

Example Problem Solution

- $r_c = k / h = 0.15 / 15 = 0.01 \text{ m} = 10 \text{ mm}$
- Current outer radius of the wire + plastic = $1 \text{ mm} + 1 \text{ mm} = 2 \text{ mm}$.
- Since current radius (2 mm) $\ll$ r_c (10 mm), the plastic coating **INCREASES** the heat dissipation.
- The wire runs cooler with the plastic than if it were bare!

Next Lecture Preview

- Topic: Overall heat transfer coefficient (U)
- How do we combine conduction and convection into one easy-to-use term?
- We will introduce the concept of the Overall Heat Transfer Coefficient.

Overall Heat Transfer Coefficient

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 10: Overall heat transfer coefficient

Lecture Agenda

- 1. Introduction to Combined Modes
- 2. Defining the Overall Heat Transfer Coefficient (U)
- 3. U for a Plane Wall
- 4. U for a Cylindrical Surface
- 5. Inner vs. Outer Area Basis for U
- 6. Fouling Factors

Combined Modes of Heat Transfer

- Most engineering problems involve multiple modes of heat transfer.
- Example: Hot fluid inside a pipe, cold air outside.
- Heat transfers by: Convection (inside) - $\dot{Q}$ Conduction (through pipe wall) - $\dot{Q}$ Convection (outside).
- We need a simple way to express this total process.

Defining the Overall Coefficient (U)

- We define the overall heat transfer coefficient, U , such that:
- $q = U * A * \Delta T_{\text{total}}$
- Where ΔT_{total} is the temperature difference between the two bulk fluids.
- Comparing this to $q = \Delta T_{\text{total}} / R_{\text{total}}$, we see that:
- $U * A = 1 / R_{\text{total}}$

U for a Plane Wall

- Consider a wall of thickness L , conductivity k , with fluids h_{in} and h_{out} .
- $R_{total} = (1/h_{in} \cdot A) + (L/k \cdot A) + (1/h_{out} \cdot A)$
- Since area A is constant: $R_{total} = (1/A) \cdot [1/h_{in} + L/k + 1/h_{out}]$
- Therefore: $1/U = 1/h_{in} + L/k + 1/h_{out}$

U for a Cylindrical Surface

- For a pipe, the inner area (A_{in}) is not equal to the outer area (A_{out}).
- $R_{total} = 1/(h_{in}A_{in}) + \ln(r_{out}/r_{in})/(2\pi Lk) + 1/(h_{out}A_{out})$
- Because area varies, U depends on which area we use as a reference.
- $q = U_{in} * A_{in} * \Delta T = U_{out} * A_{out} * \Delta T$

Inner vs. Outer Area Basis

- Based on inner area ($A_{in} = 2\pi r_{in}L$):
 - $1/U_{in} = 1/h_{in} + [r_{in} * \ln(r_{out}/r_{in})]/k + (r_{in}/r_{out})*(1/h_{out})$
- Based on outer area ($A_{out} = 2\pi r_{out}L$):
 - $1/U_{out} = (r_{out}/r_{in})*(1/h_{in}) + [r_{out} * \ln(r_{out}/r_{in})]/k + 1/h_{out}$
- Note: $U_{in} * A_{in} = U_{out} * A_{out}$

Fouling Factor Concept

- Over time, surfaces accumulate deposits (rust, scale, algae).
- These deposits act as additional thermal resistance.
- We account for this using a 'fouling factor' (R_f).
- R_f has units of $\text{m}^2 \cdot \text{K} / \text{W}$.

Including Fouling in U

- We simply add the fouling resistances to our total resistance.
- $1/U = 1/h_{in} + R_{f,in} + L/k + R_{f,out} + 1/h_{out}$
- Fouling always decreases the overall heat transfer coefficient.
- Designers must overestimate U requirements to account for future fouling.

Typical Values of U (W/m^2K)

- Water to Water: 850 - 1700
- Water to Oil: 110 - 350
- Steam condenser (Water in tubes): 1000 - 6000
- Air to Water: 10 - 50
- Notice that systems involving gases (air) have much lower U values.

Example Problem

- A steel pipe ($k=50$) has $r_{in} = 20$ mm, $r_{out} = 24$ mm.
- Inside water $h_{in} = 1000$ W/m²K. Outside air $h_{out} = 15$ W/m²K.
- Calculate U based on the outside area (U_{out}).

Summary

- The overall heat transfer coefficient (U) combines conduction and convection.
- For cylinders, U must be referenced to a specific area (usually A_{in} or A_{out}).
- Fouling factors account for the thermal resistance of scale/dirt build-up.
- U simplifies the calculation of heat transfer in complex systems.

Next Lecture Preview

- Topic: Heat transfer from an extended surface (Fins)
- How can we increase heat transfer when convection is poor?
- We will explore the use of fins to increase surface area.

Heat Transfer from an Extended Surface

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 11: Extended Surfaces (Fins) and their Types

Lecture Agenda

- 1. Limitations of Natural Convection
- 2. Concept of Extended Surfaces
- 3. Why Use Fins?
- 4. Practical Applications
- 5. Classification and Types of Fins

Limitations of Standard Convection

- Newton's Law of Cooling: $Q = h A (T_s - T_\infty)$
- To increase heat transfer rate (Q), we can:
 - 1. Increase the temperature difference ($T_s - T_\infty$) - $\checkmark$ Often limited by process.
 - 2. Increase the convection coefficient (h) - $\checkmark$ Requires forced convection, adding cost (fans, pumps).
 - 3. Increase the surface area (A) - $\checkmark$ Most practical approach.

Concept of Extended Surfaces

- An extended surface (fin) is a solid that experiences heat transfer by conduction within its boundaries, while heat is transferred by convection (and/or radiation) from its boundaries to the surroundings.
- It effectively increases the surface area ' A ' in contact with the fluid.
- Primarily used when the convective heat transfer coefficient (h) is low, particularly for gases.

Why Use Fins?

- Enhance heat dissipation without needing active cooling mechanisms (like pumps).
- Cost-effective and reliable (no moving parts).
- Ideal for air cooling, where the heat transfer coefficient is inherently low compared to liquid cooling.
- Compact design for electronics and automotive applications.

Practical Applications of Fins

- Electronics: Heat sinks for CPUs, GPUs, and power transistors.
- Automotive: Radiators, engine blocks of air-cooled motorcycles.
- HVAC Systems: Condenser and evaporator coils in ACs and refrigerators.
- Power Generation: Cooling towers and transformer casings.

Types of Fins: Overview

- Fins come in various shapes depending on the application, manufacturing constraints, and fluid flow dynamics.
- 1. Straight Fins (Longitudinal)
- 2. Annular Fins (Radial)
- 3. Pin Fins (Spines)

Straight Fins (Longitudinal)

- Attached to a plane wall.
- Can have a uniform cross-section (rectangular) or non-uniform cross-section (triangular, parabolic).
- Rectangular: Easy to manufacture.
- Triangular: Lighter weight, uses less material for the same performance.

Annular Fins (Radial)

- Circumferentially attached to a cylinder.
- Cross-sectional area increases from base to tip.
- Commonly used in pipes transferring hot fluids, or on motorcycle engine cylinders.

Pin Fins (Spines)

- Protrude from a surface like a pin or peg.
- Can be cylindrical, conical, or square in profile.
- Promote turbulence in the fluid, enhancing the convection coefficient (h).
- Often used in compact heat exchangers and electronic heat sinks.

Summary

- Fins enhance heat transfer by increasing the effective surface area.
- They are most beneficial when convection coefficients are low (e.g., in air).
- Various shapes (straight, annular, pin) are used based on thermal and physical requirements.

Next Lecture Preview

- Topic: Heat Flow through a Rectangular Fin
- We will derive the governing differential equation for a simple straight fin.
- Be prepared with fundamental calculus and Fourier's law of conduction.

Heat Flow Through a Rectangular Fin

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 12: Deriving the Fin Equation

Lecture Agenda

- 1. Standard Assumptions for Fin Analysis
- 2. The Rectangular Fin Geometry
- 3. Energy Balance on a Differential Element
- 4. Derivation of the Governing Equation
- 5. The Fin Parameter (m)

Assumptions in Fin Analysis

- 1. Steady-state heat conduction.
- 2. One-dimensional heat flow (temperature varies only along the length ' x ').
- 3. Constant thermal conductivity (k) of the fin material.
- 4. Uniform convection coefficient (h) over the entire fin surface.
- 5. No internal heat generation.
- 6. Negligible radiation (or combined into an effective ' h ').

Geometry of a Rectangular Fin

- Consider a straight rectangular fin:
- Length = L , Width = w , Thickness = t .
- Cross-sectional Area (A_c) = $w * t$.
- Perimeter (P) = $2 * (w + t)$.
- Base temperature = T_b , Ambient temperature = T_∞ .

Energy Balance Formulation

- Take a differential element of thickness ' dx ' at distance ' x '.
- Energy In (Conduction): Q_x
- Energy Out (Conduction): Q_{x+dx}
- Energy Out (Convection): Q_{conv}
- Steady State Balance: $Q_x = Q_{x+dx} + Q_{conv}$

Applying Fourier's and Newton's Laws

- From Fourier's Law:
- $Q_x = -k * A_c * (dT/dx)$
- $Q_{x+dx} = Q_x + (d/dx)(Q_x) * dx$
- From Newton's Law of Cooling:
- $Q_{conv} = h * dA_s * (T - T_{\infty})$
- Where surface area $dA_s = P * dx$ (Perimeter * thickness).

Deriving the Differential Equation

- Substitute into balance: $Q_x = [Q_x + (d/dx)(Q_x) * dx] + h*P*dx*(T - T_\infty)$
- Simplify: $-(d/dx)(Q_x) * dx = h*P*dx*(T - T_\infty)$
- Divide by dx : $-(d/dx)(-k*Ac * dT/dx) = h*P*(T - T_\infty)$
- $k * Ac * (d^2T/dx^2) = h*P*(T - T_\infty)$

The General Governing Equation

- Rearranging the terms:
- $d^2T/dx^2 - (h*P / k*Ac) * (T - T_{\infty}) = 0$
- To simplify, we introduce the excess temperature $\theta = T - T_{\infty}$.
- Since T_{∞} is constant, $d\theta/dx = dT/dx$.
- Equation becomes: $d^2\theta/dx^2 - (h*P / k*Ac) * \theta = 0$

The Fin Parameter (m)

- Let $m^2 = h \cdot P / k \cdot A_c$
- The parameter 'm' has units of m^{-1} .
- The equation simplifies to:
- $d^2\theta/dx^2 - m^2\theta = 0$
- This is a second-order, linear, homogeneous ordinary differential equation.

General Solution of the Equation

- The general solution for $d^2\theta/dx^2 - m^2\theta = 0$ is:
- $\theta(x) = C1 * e^{(mx)} + C2 * e^{(-mx)}$
- Where $C1$ and $C2$ are constants of integration.
- To find $C1$ and $C2$, we need two boundary conditions.

Summary

- Assumed 1D steady-state heat conduction.
- Derived energy balance on a small dx element.
- Obtained the governing equation: $d^2\theta/dx^2 - m^2\theta = 0$.
- The fin parameter m relies on geometry and material properties.

Next Lecture Preview

- Topic: Analysis of an Infinitely Long Fin
- We will apply specific boundary conditions to solve for C_1 and C_2 .
- We'll evaluate the heat transfer rate for a very long fin.

Infinitely Long Fin Analysis

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 13: The Infinitely Long Fin

Lecture Agenda

- 1. Recap of the General Solution
- 2. Defining Boundary Conditions for an Infinite Fin
- 3. Solving for Constants C_1 and C_2
- 4. Temperature Distribution Profile
- 5. Heat Transfer Rate (Q_{fin})

The General Solution Recap

- Governing Equation: $d^2\theta/dx^2 - m^2\theta = 0$
- General Solution: $\theta(x) = C1 * e^{(mx)} + C2 * e^{(-mx)}$
- Where: $\theta(x) = T(x) - T_{\infty}$
- And $m = (hP/kAc)$

Boundary Condition 1: The Fin Base

- At the base of the fin (attached to the wall):
- $x = 0$
- Temperature is equal to the base temperature: $T(0) = T_b$
- In terms of excess temperature:
- $\theta(0) = T_b - T_\infty = \theta_b$
- Therefore: $\theta_b = C_1 e^0 + C_2 e^0 \Rightarrow \theta_b = C_1 + C_2$

Boundary Condition 2: The Infinite Tip

- For a very long fin, as x approaches infinity ($x \rightarrow \infty$):
- The fin eventually cools down to the ambient fluid temperature.
- $T(\infty) = T_{\infty}$
- In terms of excess temperature:
- $\theta(\infty) = T_{\infty} - T_{\infty} = 0$

Evaluating Constant C1

- Apply Boundary Condition 2 to the general solution:
- $\theta(\infty) = C1 * e^{(m*\infty)} + C2 * e^{(-m*\infty)} = 0$
- Since $e^{(-m*\infty)} \rightarrow 0$, the second term vanishes.
- We are left with: $C1 * e^{(\infty)} = 0$
- Since $e^{(\infty)}$ is infinity, for the equation to hold true, C1 must be 0.
- Result: $C1 = 0$

Evaluating Constant C2

- Substitute $C1 = 0$ into Boundary Condition 1:
- $\theta_b = C1 + C2$
- $\theta_b = 0 + C2$
- Result: $C2 = \theta_b$
- Now substitute $C1$ and $C2$ back into the general solution.

Temperature Distribution Equation

- $\theta(x) = \theta_b * e^{-mx}$
- Or in terms of absolute temperatures:
- $(T(x) - T_{\infty}) / (T_b - T_{\infty}) = e^{-mx}$
- This indicates an exponential decay of temperature along the length of the fin.

Heat Transfer Rate (Q_{fin})

- How much heat does the fin transfer?
- Method 1: Integrate convection over the entire surface.
- Method 2: Heat entering the base by conduction must equal total heat convected.
- Use Fourier's Law at $x = 0$:
- $Q_{fin} = -k * A_c * (dT/dx) \text{ at } x=0$

Calculating Q_{fin}

- We know: $\theta(x) = \theta_b * e^{(-mx)}$
- Differentiate: $d\theta/dx = -m * \theta_b * e^{(-mx)}$
- At $x = 0$: $(dT/dx)_{x=0} = -m * \theta_b$
- Substitute into Fourier's Law:
- $Q_{fin} = -k * A_c * (-m * \theta_b) = k * A_c * m * \theta_b$

Summary

- For an infinite fin, tip temperature $T(\infty) = T_\infty$.
- Temperature profile is an exponential decay: $\theta(x) = \theta_b * e^{(-mx)}$.
- Heat transfer rate: $Q_{fin} = (hPkAc) * (T_b - T_\infty)$.
- This is the theoretical maximum heat a fin of this cross-section can transfer.

Next Lecture Preview

- Topic: Efficiency and Effectiveness of Fins
- How do real, finite fins perform compared to our infinite ideal?
- We will define the metrics to evaluate fin performance.

Efficiency and Effectiveness of Fins

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 14: Fin Performance Metrics

Lecture Agenda

- 1. The Need for Performance Metrics
- 2. Fin Efficiency (η_{fin})
- 3. Formula for Fin Efficiency
- 4. Fin Effectiveness (ε_{fin})
- 5. When are Fins Justified?
- 6. Relationship between Efficiency and Effectiveness

Why Measure Performance?

- Adding a fin increases surface area (good for convection).
- BUT adding a fin also adds conduction resistance.
- Temperature drops along the fin, so the tip dissipates less heat than the base.
- We need metrics to ensure the area gain outweighs the conduction penalty.

Fin Efficiency (η_{fin}) - Concept

- Fin Efficiency compares actual heat transfer to an ideal case.
- Ideal Case: The entire fin is at the base temperature (T_b).
- If k is infinite, there is no temperature drop, and maximum heat is dissipated.
- $\eta_{fin} = (\text{Actual Heat Transfer from Fin}) / (\text{Ideal Heat Transfer if entire fin was at } T_b)$

Fin Efficiency Formula

- $\eta_{\text{fin}} = Q_{\text{fin}} / Q_{\text{max}}$
- $Q_{\text{max}} = h * A_{\text{fin}} * (T_b - T_{\infty})$
- For a long fin: $Q_{\text{fin}} = (hPkAc) * \theta_b$
- Substitute and simplify:
- $\eta_{\text{fin}} = 1 / (m * L_c)$ [For infinitely long fin, though usually applied to insulated tip fin: $\tanh(mL)/mL$]

Graphical Representation of Efficiency

- Efficiency depends heavily on the parameter mL .
- As length (L) increases, η_{fin} decreases.
- As thermal conductivity (k) increases, m decreases, and η_{fin} increases.
- Fins should be made of high ' k ' materials (Aluminum, Copper).

Fin Effectiveness (ϵ_{fin}) - Concept

- Effectiveness compares the heat transfer WITH the fin to the heat transfer WITHOUT the fin.
- $\epsilon_{fin} = (\text{Heat transfer with fin}) / (\text{Heat transfer from base area without fin})$
- $\epsilon_{fin} = Q_{fin} / (h * A_c * (T_b - T_{\infty}))$

Evaluating Effectiveness

- For an infinitely long fin:
- $Q_{\text{fin}} = (hPkAc) * \theta_b$
- $\varepsilon_{\text{fin}} = [(hPkAc) * \theta_b] / [h * Ac * \theta_b]$
- $\varepsilon_{\text{fin}} = (k*P / h*Ac)$

When is a Fin Justified?

- Rule of Thumb: ε_{fin} must be ≥ 2 to justify the cost and weight of adding fins.
- If $\varepsilon_{\text{fin}} < 1$, the fin is acting as an insulator!
- To maximize effectiveness:
 1. High thermal conductivity (k)
 2. High perimeter-to-area ratio (P/A_c) - $\rightarrow$ Thin fins
 3. Low convection coefficient (h) - $\rightarrow$ Gases

Relationship between η_{fin} and ε_{fin}

- $\varepsilon_{fin} = Q_{fin} / (h * A_c * \theta_b)$
- $\eta_{fin} = Q_{fin} / (h * A_{fin} * \theta_b)$
- Dividing the two equations gives:
- $\varepsilon_{fin} = (A_{fin} / A_c) * \eta_{fin}$
- Effectiveness is the Efficiency scaled by the area ratio.

Summary

- Efficiency (η): How well the fin utilizes its material (ideal = uniform T_b).
- Effectiveness (ε): How much the fin improves total heat transfer.
- Fins are justified when $\varepsilon \geq 2$.
- Best used in applications with low convection coefficients (like air cooling).

Next Lecture Preview

- Topic: Numerical Examples on Extended Surfaces
- We will solve practical problems involving rectangular fins.
- Bring your calculators!

Numerical Examples on Extended Surfaces

- Course: Heat and Mass Transfer (DI05019071)
- Unit 1: Conduction
- Lecture 15: Problem Solving

Lecture Agenda

- 1. Problem Solving Strategy
- 2. Numerical 1: Infinitely Long Fin Application
- 3. Numerical 2: Evaluating Fin Efficiency
- 4. Numerical 3: Justifying Fin Addition (Effectiveness)

Problem Solving Strategy

- Step 1: Identify geometry (Rectangular, Pin) and extract L , w , t , D .
- Step 2: Calculate Area (A_c) and Perimeter (P).
- Step 3: Calculate the fin parameter ' m ' = (hP/kA_c) .
- Step 4: Determine the appropriate boundary condition (Infinite, Insulated tip, etc.).
- Step 5: Apply the correct formula for Q_{fin} or $\dot{Q}_{fin}$.

Numerical 1: Problem Statement

- A long copper rod ($k = 398 \text{ W/mK}$) of 5 mm diameter extends from a surface at 120°C .
- The ambient air is at 25°C with a convection coefficient of $20 \text{ W/m}^2\text{K}$.
- Assuming the rod is infinitely long, determine the heat transfer rate from the rod.

Numerical 1: Step 1 & 2 (Geometry)

- Diameter $D = 0.005 \text{ m}$
- Perimeter $P = \pi * D = \pi * 0.005 = 0.0157 \text{ m}$
- Cross-sectional Area $A_c = (\pi/4) * D^2 = (\pi/4) * (0.005)^2 = 1.963 \times 10^{-5} \text{ m}^2$
- Base excess temp $\theta_b = T_b - T_\infty = 120 - 25 = 95^\circ\text{C}$

Numerical 1: Step 3 & 5 (m & Q_fin)

- Calculate $m = (hP / kAc)$
- $m = ((20 * 0.0157) / (398 * 1.963 \times 10)) = (0.314 / 0.00781) \approx 6.34 \text{ m}^{-1}$
- For infinite fin: $Q_{\text{fin}} = (hPkAc) * \theta_b$
- Alternatively: $Q_{\text{fin}} = k * Ac * m * \theta_b$
- $Q_{\text{fin}} = 398 * 1.963 \times 10 * 6.34 * 95 \approx 4.70 \text{ W}$

Numerical 2: Problem Statement

- A rectangular aluminum fin ($k = 200 \text{ W/mK}$) is 3 mm thick and 7.5 cm long.
- It is attached to a wall at 300°C . Ambient air is 50°C with $h = 10 \text{ W/m}^2\text{K}$.
- Determine the fin efficiency assuming an insulated tip.

Numerical 2: Geometry and 'm'

- Assume width $w = 1$ m. Thickness $t = 0.003$ m, $L = 0.075$ m.
- $P = 2*(w+t) \approx 2w = 2$ m (since $t \ll w$)
- $A_c = w*t = 1 * 0.003 = 0.003$ m²
- $m = (hP / kA_c) = ((10 * 2) / (200 * 0.003)) = (20 / 0.6)$
 $= 33.33 \approx 5.77$ m⁻¹
- $mL = 5.77 * 0.075 = 0.433$

Numerical 2: Calculating Efficiency

- Efficiency formula for insulated tip: $\eta_{fin} = \tanh(mL) / mL$
- $\eta_{fin} = \tanh(0.433) / 0.433$
- $\tanh(0.433) \approx 0.407$
- $\eta_{fin} = 0.407 / 0.433 \approx 0.94$ or 94%

Numerical 3: Effectiveness Check

- For the same fin in Numerical 2, what is the effectiveness?
- $\epsilon_{\text{fin}} = (A_{\text{fin}} / A_c) * \eta_{\text{fin}}$
- $A_{\text{fin}} = P * L = 2 * 0.075 = 0.15 \text{ m}^2$
- $A_c = 0.003 \text{ m}^2$
- $\epsilon_{\text{fin}} = (0.15 / 0.003) * 0.94 = 50 * 0.94 = 47$
- Since 47 $\gg$ 2, the use of this fin is highly justified.

Summary

- Systematically break down problems: Geometry - l m parameter - h formula.
- Be careful with units, especially mm vs. m.
- For thin rectangular fins, Perimeter $P \approx 2w$.
- Efficiency η and Effectiveness ε are easily linked by the area ratio.

Next Lecture Preview

- Topic: Unit 2 - Convection
- We are wrapping up Conduction.
- Next, we move to the fluid dynamics of heat transfer:
Newton's Law of Cooling in depth.

Newton's law of cooling

- Course: Heat and Mass Transfer (DI05019071)
- Unit 2: Convection
- Lecture 16: Newton's Law of Cooling

Lecture Agenda

- 1. Introduction to Convection
- 2. Mechanism of Convective Heat Transfer
- 3. Types of Convection
- 4. Newton's Law of Cooling
- 5. Convection Heat Transfer Coefficient
- 6. Factors Affecting the Heat Transfer Coefficient

Introduction to Convection

- Convection is the mode of heat transfer between a solid surface and the adjacent liquid or gas that is in motion.
- It involves the combined effects of:
 - 1. Conduction (at the surface)
 - 2. Fluid motion (macroscopic movement of fluid parcels)
- Without fluid motion, heat transfer between a solid surface and an adjacent fluid is purely by conduction.

Mechanism of Convection

- Near the solid surface, fluid velocity is zero (no-slip condition).
- Heat is first transferred to this stationary fluid layer by conduction.
- This heat is then carried away by the macroscopic motion of the fluid away from the surface.
- Therefore, convection is essentially conduction combined with fluid advection.

Types of Convection

- Convection is broadly classified based on how the fluid motion is initiated:
- 1. Forced Convection: Fluid is forced to flow over the surface by external means (pump, fan, wind).
- 2. Free (Natural) Convection: Fluid motion is caused by buoyancy forces due to density differences induced by temperature gradients.

Newton's Law of Cooling

- Governs the rate of convection heat transfer.
- Statement: The rate of heat transfer is directly proportional to the surface area and the temperature difference between the surface and the fluid.
- Equation: $q = h * A * (T_s - T_{\infty})$
- Where:
 - - q : Rate of heat transfer (W)
 - - h : Convection heat transfer coefficient (W/m^2K)
 - - A : Surface area (m^2)

Understanding the Equation

- Equation details: $q = h * A * (T_s - T_{\infty})$
- T_s : Temperature of the surface.
- T_{∞} : Temperature of the fluid sufficiently far from the surface (free-stream temperature).
- The direction of heat transfer depends on which temperature is higher. If $T_s > T_{\infty}$, heat flows from the surface to the fluid.

Convection Heat Transfer Coefficient (h)

- It is not a property of the fluid.
- It is an experimentally determined parameter whose value depends on all the variables influencing convection.
- Units: Watts per square meter Kelvin ($\text{W}/\text{m}^2\cdot\text{K}$) or $\text{W}/\text{m}^2\cdot^\circ\text{C}$.
- It characterizes how effectively heat is transferred by convection.

Factors Affecting 'h'

- The convection heat transfer coefficient depends heavily on:
- 1. Fluid properties (dynamic viscosity, thermal conductivity, density, specific heat).
- 2. Surface geometry (flat plate, cylinder, sphere).
- 3. Surface roughness.
- 4. Fluid velocity (flow type: laminar vs. turbulent).

Typical Values of 'h'

- Free Convection (Gases): 2 - 25 W/m²·K
- Free Convection (Liquids): 50 - 1,000 W/m²·K
- Forced Convection (Gases): 25 - 250 W/m²·K
- Forced Convection (Liquids): 50 - 20,000 W/m²·K
- Boiling and Condensation: 2,500 - 100,000 W/m²·K

Comparison: Conduction vs. Convection

- Conduction:
 - - Mechanism: Molecular vibration/electron drift.
 - - Medium: Solid (mostly), stationary fluid.
 - - Law: Fourier's Law ($q = -kA(dT/dx)$).
- Convection:
 - - Mechanism: Macroscopic fluid motion + conduction.
 - - Medium: Moving fluid.
 - - Law: Newton's Law of Cooling ($q = hA(\Delta T)$).

Summary

- Convection combines conduction with fluid motion.
- Newton's Law of Cooling is $q = h * A * (T_s - T_{\infty})$.
- The convection heat transfer coefficient 'h' is an empirical parameter, not a material property.
- 'h' depends on fluid properties, flow conditions, and surface geometry.

Next Lecture Preview

- Topic: Concept of forced and free convection
- Key questions:
 - - How do buoyancy forces create fluid motion in free convection?
 - - When does forced convection transition from laminar to turbulent flow?

Concept of forced and free convection

- Course: Heat and Mass Transfer (DI05019071)
- Unit 2: Convection
- Lecture 17: Forced and Free Convection

Lecture Agenda

- 1. Recap of Convection Basics
- 2. What is Forced Convection?
- 3. Mechanisms and Examples of Forced Convection
- 4. What is Free (Natural) Convection?
- 5. Mechanisms of Free Convection (Buoyancy)
- 6. Forced vs. Free Convection Comparison
- 7. Mixed Convection

Recap of Convection

- Convection involves heat transfer by macroscopic fluid motion.
- Governed by Newton's Law of Cooling: $q = hA(T_s - T_\infty)$
- The driving force for heat transfer is the temperature difference.
- But what drives the fluid motion? This question leads us to our two types.

Forced Convection

- Definition: Fluid motion is artificially induced by an external agent.
- External agents include fans, blowers, pumps, or wind.
- The fluid is forced to flow over a surface or through a tube, regardless of the temperature differences present.

Mechanisms of Forced Convection

- High fluid velocities are achievable.
- Results in thinner boundary layers (we will discuss these later).
- Consequently, forced convection yields much higher heat transfer coefficients (h) compared to free convection.
- Flow can be easily controlled to achieve desired cooling/heating rates.

Examples of Forced Convection

- 1. Air conditioning and heating systems (fans blowing air).
- 2. Automobile radiators (water pump circulating coolant).
- 3. Cooling of electronic components (CPU cooling fans).
- 4. Flow of fluids through heat exchangers in chemical plants.

Free (Natural) Convection

- Definition: Fluid motion is induced by natural means, without any external agent.
- The motion is driven entirely by density differences within the fluid.
- These density differences are created by temperature gradients in the fluid itself.

The Mechanism: Buoyancy Forces

- 1. A hot surface heats the adjacent fluid.
- 2. The fluid expands, its density decreases.
- 3. The lighter, warmer fluid experiences an upward buoyancy force and rises.
- 4. Cooler, denser fluid moves in from the sides to replace it.
- This continuous circulation is natural convection.

Examples of Free Convection

- 1. Cooling of a hot cup of coffee in a still room.
- 2. Heat dissipation from standard home radiators (despite the name, they operate mostly via free convection and radiation).
- 3. Cooling of power transmission lines on a calm day.
- 4. Oceanic and atmospheric circulation patterns.

Forced vs. Free Convection

- — Feature — Forced Convection — Free Convection —
- —————
- — Cause of Flow — External agent (fan/pump) — Buoyancy forces (density diff) —
- — Fluid Velocity — Generally high — Generally low —
- — Heat Transfer Coeff (h) — High — Low —
- — Control — Easily controllable — Difficult to control —

Mixed Convection

- In reality, free convection is always present as long as there is a temperature difference and gravity.
- Mixed convection occurs when both forced and free convection effects are significant.
- Example: A slow fan blowing air over a very hot surface.
- Buoyancy forces can either assist or oppose the forced flow.

Summary

- Forced convection uses external power (fans, pumps) to move fluid.
- Free convection relies on buoyancy forces generated by temperature-induced density changes.
- Forced convection generally achieves much higher heat transfer rates than free convection.
- Mixed convection happens when both mechanisms are of comparable strength.

Next Lecture Preview

- Topic: Overall heat transfer coefficient - conduction and convection
- Key questions:
 - - How do we calculate heat transfer when a wall has convection on both sides?
 - - What is the overall heat transfer coefficient ' U '?

Overall Heat Transfer Coefficient

- Course: Heat and Mass Transfer (DI05019071)
- Unit 2: Convection
- Lecture 18: Overall Heat Transfer Coefficient

Lecture Agenda

- 1. Real-world Heat Transfer Scenarios
- 2. The Thermal Resistance Concept Revisited
- 3. Defining Convection Resistance
- 4. Heat Transfer through a Plane Wall (Convection on both sides)
- 5. The Overall Heat Transfer Coefficient (U)
- 6. U for Cylindrical Geometries (Pipes)
- 7. Fouling and Thermal Contact Resistance

Real-world Scenarios

- In most engineering problems, heat transfer involves multiple modes in series.
- Example 1: Heat lost from a room through a wall to the cold outside air. (Inner convection - h_i Wall conduction - k Outer convection).
- Example 2: Heat exchanger tube. Hot fluid inside, cold fluid outside. (Inner convection - h_i Tube wall conduction - k Outer convection).

Thermal Resistance Revisited

- Recall from Unit 1: Heat transfer can be modeled analogously to electrical current.
- Current (I) - $\rightarrow$ Heat transfer rate (q)
- Voltage Difference (ΔV) - $\rightarrow$ Temperature Difference (ΔT)
- Electrical Resistance (R_e) - $\rightarrow$ Thermal Resistance (R_t)
- $q = \Delta T / R_{\text{total}}$

Convection Resistance

- Newton's Law of Cooling: $q = h * A * (T_s - T_{\infty})$
- Rearranging into the resistance format ($q = \Delta T / R$):
- $q = (T_s - T_{\infty}) / (1 / (h * A))$
- Therefore, Convection Thermal Resistance is:
- $R_{\text{conv}} = 1 / (h * A)$

Heat Transfer through a Plane Wall

- Consider a wall of thickness ' L ', thermal conductivity ' k ', area ' A '.
- Hot fluid (T_h , h_1) on one side, cold fluid (T_c , h_2) on the other.
- Thermal circuit consists of three resistances in series:
 - 1. $R_{\text{conv1}} = 1 / (h_1 * A)$
 - 2. $R_{\text{cond}} = L / (k * A)$
 - 3. $R_{\text{conv2}} = 1 / (h_2 * A)$

The Overall Heat Transfer Coefficient (U)

- To simplify calculations, we define the Overall Heat Transfer Coefficient (U).
- Equation: $q = U * A * \Delta T_{\text{overall}}$
- Where $\Delta T_{\text{overall}} = T_{\text{hot_fluid}} - T_{\text{cold_fluid}}$.
- Comparing with $q = \Delta T / R_{\text{total}}$, we get:
- $U * A = 1 / R_{\text{total}} \Rightarrow U = 1 / (R_{\text{total}} * A)$

U for a Plane Wall

- For our plane wall with convection on both sides:
- $R_{\text{total}} = (1 / h_1 A) + (L / k A) + (1 / h_2 A)$
- Since $U = 1 / (R_{\text{total}} * A)$, we can factor out 'A':
- $U = 1 / [(1/h_1) + (L/k) + (1/h_2)]$
- Units for U are $\text{W}/\text{m}^2 \cdot \text{K}$ (same as h).

U for Cylindrical Geometries

- For pipes, the inner area ($A_i = 2\pi r_i L$) is different from the outer area ($A_o = 2\pi r_o L$).
- Because A is not constant, U depends on which area it is based on:
- $U_i * A_i = U_o * A_o = 1 / R_{total}$
- $U_i = 1 / [(1/h_i) + (A_i \ln(r_o/r_i)/(2\pi kL)) + (A_i/(A_o * h_o))]$

Fouling Factor (R_f)

- Over time, surfaces accumulate deposits (scale, rust, algae).
- These deposits act as additional thermal insulation, reducing heat transfer.
- This added resistance is called the Fouling Factor (R_f).
- $R_{\text{total}} = R_{\text{conv1}} + R_{f1} + R_{\text{cond}} + R_{f2} + R_{\text{conv2}}$

Impact of Fouling on U

- Fouling always increases the total thermal resistance.
- Therefore, fouling always decreases the Overall Heat Transfer Coefficient (U).
- Equipment must be designed with extra capacity (a clean U value vs a dirty U value) to allow for fouling over its operational life.

Summary

- Convection thermal resistance is $R_{\text{conv}} = 1 / (hA)$.
- The Overall Heat Transfer Coefficient (U) combines all series resistances: $U \cdot A = 1/R_{\text{total}}$.
- For pipes, U must be specified relative to the inner or outer area.
- Fouling adds thermal resistance and degrades performance over time.

Next Lecture Preview

- Topic: Dimensionless numbers and their physical significance
- Key questions:
 - - How do we simplify complex convection problems?
 - - What are the Reynolds, Prandtl, and Nusselt numbers?

Dimensionless Numbers in Convection

- Course: Heat and Mass Transfer (DI05019071)
- Unit 2: Convection
- Lecture 19: Dimensionless Numbers (Re , Pr , Nu)

Lecture Agenda

- 1. The Challenge of Finding 'h'
- 2. What is Dimensional Analysis?
- 3. Reynold's Number (Re): Definition & Significance
- 4. Prandtl Number (Pr): Definition & Significance
- 5. Nusselt Number (Nu): Definition & Significance
- 6. Empirical Correlations ($Nu = f(Re, Pr)$)

The Challenge of Finding 'h'

- As we learned, 'h' depends on many variables:
- Fluid velocity (V), density (ρ), viscosity (μ), specific heat (C_p), thermal conductivity (k), and characteristic length (L).
- Mathematically: $h = f(V, \rho, \mu, C_p, k, L)$
- Performing experiments by varying one parameter at a time while holding the others constant is practically impossible and very expensive.

Dimensional Analysis

- Dimensional analysis groups these many variables into a few dimensionless groups.
- Benefits:
 - 1. Drastically reduces the number of variables (e.g., from 7 variables to 3 dimensionless groups).
 - 2. Makes experimental data generalizable (scale models can be used to predict real-world behavior).
- Buckingham Pi Theorem is often used to derive these groups.

Reynold's Number (Re)

- Definition: $Re = (\rho * V * L) / \mu$
- Where:
 - - ρ = fluid density (kg/m^3)
 - - V = fluid velocity (m/s)
 - - L = characteristic length (m)
 - - μ = dynamic viscosity ($\text{kg/m}\cdot\text{s}$)

Significance of Reynold's Number

- Physical Significance: Re is the ratio of Inertia forces to Viscous forces.
- $Re = (\text{Inertia Forces}) / (\text{Viscous Forces})$
- - High Re (Inertia dominates): Turbulent flow, chaotic mixing.
- - Low Re (Viscosity dominates): Laminar flow, smooth layers.
- Re is used to determine if a flow is laminar or turbulent.

Prandtl Number (Pr)

- Definition: $Pr = (\mu * C_p) / k = \nu / \alpha$
- Where:
- - μ = dynamic viscosity, C_p = specific heat, k = thermal conductivity.
- - ν = kinematic viscosity (μ/ρ) = momentum diffusivity.
- - α = thermal diffusivity ($k/(\rho*C_p)$).

Significance of Prandtl Number

- Physical Significance: Pr is the ratio of Molecular Momentum Diffusivity to Thermal Diffusivity.
- $Pr = \nu / \alpha$
- It describes the relative thickness of the velocity boundary layer compared to the thermal boundary layer.
- - Liquid metals: $Pr \ll 1$ (heat diffuses faster than momentum).
- - Gases: $Pr \approx 1$ (similar boundary layers).
- - Oils: $Pr \gg 1$ (momentum diffuses faster than heat).

Nusselt Number (Nu)

- Definition: $Nu = (h * L) / k_{\text{fluid}}$
- Where:
 - - h = convection heat transfer coefficient.
 - - L = characteristic length.
 - - k_{fluid} = thermal conductivity of the fluid.

Significance of Nusselt Number

- Physical Significance: Nu is the ratio of Convection heat transfer to Conduction heat transfer in the fluid layer.
- $Nu = (q_{\text{convection}}) / (q_{\text{conduction_if_fluid_was_stationary}})$
- $Nu = 1$ means heat transfer is purely by conduction.
- Higher Nu means more effective convection.
- Nu is the parameter we want to solve for to find 'h'.

Empirical Correlations

- Through dimensional analysis, we find that Nu is a function of Re and Pr for forced convection.
- General form: $Nu = C * Re^m * Pr^n$
- Engineers perform experiments to find the constants C , m , and n for different geometries (flat plate, pipe, sphere) and flow types (laminar, turbulent).
- Workflow: Calculate Re and Pr -> Use correlation to find Nu -> Calculate h from Nu .

Summary

- Dimensional analysis simplifies convection by grouping variables.
- Re (Inertia/Viscous) determines flow regime (laminar vs turbulent).
- Pr (Momentum diff/Thermal diff) is a fluid property relating boundary layers.
- Nu (Convection/Conduction) is the dimensionless heat transfer coefficient.
- Convection problems are solved using $Nu = f(Re, Pr)$ correlations.

Next Lecture Preview

- Topic: Boundary layer definition and characteristics
- Key questions:
 - - What happens to the fluid exactly at the solid surface?
 - - How do the velocity and thermal boundary layers develop?

Boundary Layers in Convection

- Course: Heat and Mass Transfer (DI05019071)
- Unit 2: Convection
- Lecture 20: Boundary Layer Definition and Thickness

Lecture Agenda

- 1. Concept of a Boundary Layer
- 2. The Velocity Boundary Layer
- 3. Velocity Boundary Layer Thickness (δ)
- 4. The Thermal Boundary Layer
- 5. Thermal Boundary Layer Thickness (δ_t)
- 6. Relationship Between Boundary Layers (Role of Pr)

Concept of a Boundary Layer

- When a fluid flows over a solid surface, the fluid particles adjacent to the surface stick to it.
- This is called the 'no-slip condition' (Velocity = 0 at the wall).
- These stationary particles slow down the adjacent layer of fluid, which slows down the next layer, and so on.
- This region of retarded flow near the surface is the Boundary Layer.

The Velocity Boundary Layer

- It is the region of the flow where the effects of viscous shearing forces caused by fluid friction are felt.
- Inside the boundary layer: Velocity gradients are large (du/dy is significant).
- Outside the boundary layer (free stream): Velocity is uniform, and viscous effects are negligible.
- The boundary layer grows in thickness as you move further down the plate.

Velocity Boundary Layer Thickness ()

- Definition: The distance ' y ' from the surface at which the local fluid velocity ' u ' reaches 99% of the free-stream velocity ' U_{∞} '.
- Mathematical definition: $y = \delta$ where $u = 0.99 U_{\infty}$
- It defines the edge of the boundary layer.
- increases with distance ' x ' from the leading edge.

Laminar vs. Turbulent Boundary Layers

- The boundary layer starts as laminar (smooth, ordered flow).
- At a critical distance (determined by critical Reynold's number), the flow becomes unstable and transitions to turbulent.
- Turbulent boundary layers are thicker, have intense mixing, and result in much higher friction and heat transfer rates.

The Thermal Boundary Layer

- Similar to velocity, if the fluid and surface are at different temperatures, a thermal boundary layer develops.
- Fluid particles at the surface achieve thermal equilibrium with the surface ($T = T_s$).
- These particles exchange heat with adjacent fluid layers.
- It is the region where temperature gradients are present in the flow.

Thermal Boundary Layer Thickness (δ_t)

- Definition: The distance 'y' from the surface at which the temperature difference $(T - T_s)$ equals 99% of the maximum temperature difference $(T_\infty - T_s)$.
- Mathematical definition: $y = \delta_t$ where $(T - T_s)/(T_\infty - T_s) = 0.99$
- Like the velocity boundary layer, δ_t grows along the length of the surface.

Significance of Thermal Boundary Layer

- The convection heat transfer coefficient 'h' is inversely proportional to the thermal boundary layer thickness (δ_t).
- Fourier's law at the wall: $q = -k_{\text{fluid}} * (dT/dy)_{\text{at wall}}$
- Newton's law: $q = h * (T_s - T_{\infty})$
- Thinner boundary layer $\rightarrow$ Steeper temperature gradient $\rightarrow$ Higher 'h'.

Relationship Between δ and δ_t

- Which boundary layer is thicker: velocity (δ) or thermal (δ_t)?
- It depends entirely on the fluid's Prandtl Number ($Pr = \nu / \alpha$).
- $Pr \approx 1$ (Gases): $\delta \approx \delta_t$. Boundary layers grow at similar rates.
- $Pr \ll 1$ (Liquid Metals): $\delta_t \ll \delta$. Heat diffuses much faster than momentum.
- $Pr \gg 1$ (Oils): $\delta \ll \delta_t$. Momentum diffuses much faster than heat.

Boundary Layer Equations (Overview)

- For laminar flow over a flat plate (Blasius solution):
- Velocity BL thickness: $\approx 5.0 \cdot x / (\text{Re}_x)$
- Thermal BL thickness: $\delta_t = \delta / (\text{Pr}^{1/3})$
- Notice how boundary layer thickness is inversely proportional to the square root of Reynolds number.

Summary

- Boundary layers are regions near the surface where velocity and temperature gradients are significant.
- Thickness is defined at the 99% free-stream value.
- Thinner thermal boundary layers result in higher heat transfer coefficients.
- Prandtl number dictates the relative thickness of velocity and thermal boundary layers.

Next Lecture Preview

- Topic: Boiling regimes and general aspects of condensation heat transfer
- Key questions:
 - - What happens to heat transfer when a fluid boils?
 - - What are the different regimes of boiling?
 - - Film vs. Dropwise condensation: which is better?

Boiling and Condensation

- Course: Heat and Mass Transfer (DI05019071)
- Unit 2: Convection
- Lecture 21: Boiling Regimes and Condensation

Lecture Agenda

- 1. Convection with Phase Change
- 2. Pool Boiling vs. Flow Boiling
- 3. The Boiling Curve (Nukiyama Curve)
- 4. Natural Convection Boiling Regime
- 5. Nucleate Boiling Regime
- 6. Transition and Film Boiling Regimes
- 7. Critical Heat Flux (Burnout Point)
- 8. General Aspects of Condensation
- 9. Filmwise vs. Dropwise Condensation

Convection with Phase Change

- Boiling and condensation are forms of convection associated with a change in phase (liquid to gas, or gas to liquid).
- Latent heat of vaporization plays a massive role.
- Heat transfer coefficients (h) for phase change are typically orders of magnitude higher than single-phase forced convection.
- Examples: Power plant boilers, steam condensers, refrigeration systems.

Pool Boiling vs. Flow Boiling

- Pool Boiling: Boiling occurs in a quiescent (still) fluid. Fluid motion is due entirely to natural convection currents and bubble motion. (e.g., boiling water in a pan).
- Flow Boiling: Fluid is forced to move over a heated surface by external means (pump) while boiling occurs. (e.g., water flowing through a reactor core).
- We will focus primarily on Pool Boiling to understand the regimes.

The Boiling Curve

- Plots Heat Flux (q'') on the y-axis vs. Excess Temperature ($\Delta T_{\text{excess}} = T_{\text{surface}} - T_{\text{saturation}}$) on the x-axis.
- Log-log scale is usually used.
- Discovered by Nukiyama in 1934.
- Identifies four distinct boiling regimes as surface temperature increases.

1. Natural Convection Boiling

- Occurs at low excess temperatures ($\Delta T_{\text{excess}} \leq 5^{\circ}\text{C}$ for water).
- Fluid near the surface is superheated slightly.
- Heat is transferred by free convection to the liquid surface where evaporation occurs.
- No bubbles form on the heating surface yet.

2. Nucleate Boiling

- Occurs at $5^{\circ}\text{C} \leq \Delta T_{\text{excess}} \leq 30^{\circ}\text{C}$.
- Bubbles begin to form at nucleation sites (scratches, pits) on the surface.
- Bubbles detach, rise, and cause intense fluid mixing.
- Extremely high heat transfer rates. This is the most desirable operating regime for industrial boilers.

Critical Heat Flux (Burnout Point)

- The peak of the nucleate boiling curve (around $\Delta T_{\text{excess}} \approx 30^\circ\text{C}$ for water).
- Also known as Critical Heat Flux (CHF) or Departure from Nucleate Boiling (DNB).
- At this point, bubble formation is so rapid that bubbles start to merge before detaching.
- Maximum possible heat transfer rate in nucleate boiling.

3 & 4. Transition & Film Boiling

- Transition Boiling ($30^{\circ}\text{C} \leq \Delta T \leq 120^{\circ}\text{C}$): Bubbles merge to form an unstable vapor film. Heat flux actually drops as T_{surface} increases because vapor is a poor conductor.
- Film Boiling ($\Delta T \geq 120^{\circ}\text{C}$): A stable, continuous vapor film completely covers the surface. (Leidenfrost effect).
- Radiation becomes a significant mode of heat transfer across the vapor film.

General Aspects of Condensation

- Occurs when vapor comes into contact with a surface whose temperature is below the saturation temperature of the vapor.
- Latent heat is released to the surface.
- Two main types of condensation on solid surfaces:
 - 1. Filmwise Condensation
 - 2. Dropwise Condensation

Filmwise vs. Dropwise Condensation

- Filmwise: The liquid wets the surface completely, forming a continuous liquid film. The film acts as a thermal resistance.
- Dropwise: The liquid does NOT wet the surface. Vapor condenses in discrete drops which roll off, leaving bare surface exposed.
- Dropwise condensation achieves heat transfer rates up to 10 times higher than filmwise condensation.

Summary

- Boiling and condensation offer very high heat transfer rates due to phase change.
- The boiling curve maps regimes: Natural convection, Nucleate (desired), Transition, and Film (dangerous).
- Critical Heat Flux is a dangerous limit where a vapor blanket forms.
- Dropwise condensation is much more efficient than filmwise condensation.

Unit 3 Preview

- Unit 3: Radiation
- Key questions:
 - - How does heat travel through a vacuum like space?
 - - What is electromagnetic thermal radiation?
 - - What makes a body 'black', 'white', or 'grey' in heat transfer?

Radiation Heat Transfer

- Course: Heat and Mass Transfer (DI05019071)
- Unit 3: Radiation
- Lecture 22: Absorptivity, Reflectivity, and Transmissivity

Lecture Agenda

- 1. Introduction to Thermal Radiation
- 2. Electromagnetic Spectrum
- 3. Interaction of Radiation with Matter
- 4. Definitions: Absorptivity, Reflectivity, Transmissivity
- 5. Conservation of Energy Relationship
- 6. Surface Characteristics

What is Thermal Radiation?

- Radiation is energy emitted by matter in the form of electromagnetic waves (or photons).
- Does NOT require an intervening medium (can occur in a vacuum).
- All matter at a temperature above absolute zero (0 K) emits thermal radiation.
- Travels at the speed of light.

The Electromagnetic Spectrum

- Thermal radiation spans a specific portion of the EM spectrum.
- Wavelength range: ~ 0.1 to 100 micrometers (μm).
- Includes a portion of ultraviolet (UV), all visible light, and infrared (IR).
- Heat transfer is mostly concerned with the infrared region.

Interaction of Radiation with Matter

- When thermal radiation strikes a surface, three things can happen:
 - 1. It is absorbed by the material (increases internal energy).
 - 2. It is reflected away from the surface.
 - 3. It is transmitted through the material.
- Total incident radiation is called Irradiation (G).

Absorptivity (α)

- Absorptivity (α): The fraction of incident radiation absorbed by the surface.
- Mathematical definition: $\alpha = G_{\text{abs}} / G$
- Where G_{abs} is absorbed radiation and G is total incident irradiation.
- Range: $0 \leq \alpha \leq 1$
- Depends on the nature of the surface, temperature, and wavelength of incident radiation.

Reflectivity (ρ)

- Reflectivity (ρ): The fraction of incident radiation reflected by the surface.
- Mathematical definition: $\rho = G_{\text{ref}} / G$
- Where G_{ref} is reflected radiation.
- Range: $0 \leq \rho \leq 1$
- Types of reflection: Specular (mirror-like) and Diffuse (scattered in all directions).

Transmissivity (τ)

- Transmissivity (τ): The fraction of incident radiation transmitted through the material.
- Mathematical definition: $\tau = G_{tr} / G$
- Where G_{tr} is transmitted radiation.
- Range: $0 \leq \tau \leq 1$
- Solid opaque materials generally have $\tau = 0$.

Conservation of Energy

- By the principle of conservation of energy:
- Total Incident Radiation = Absorbed + Reflected + Transmitted
- $G = G_{\text{abs}} + G_{\text{ref}} + G_{\text{tr}}$
- Dividing by G gives:
- $1 = \alpha + \rho + \tau$

Special Cases: Opaque Surfaces

- For opaque materials (e.g., metals, wood, brick):
- No radiation is transmitted: $\tau = 0$
- The conservation equation simplifies to:
- $\alpha + \rho = 1$
- Therefore, a highly reflective opaque surface must have low absorptivity, and vice versa.

Special Cases: Transparent Gases

- For highly transparent media (e.g., dry air, pure gases):
- Very little radiation is absorbed or reflected.
- $\alpha \approx 0$, $\rho \approx 0$
- Transmissivity approaches 1: $\tau \approx 1$
- Note: Some gases (like CO₂ and water vapor) absorb specific wavelengths.

Summary

- Thermal radiation requires no medium and travels as EM waves.
- Irradiation (G) interacts via absorption, reflection, and transmission.
- Properties: α (absorptivity), ρ (reflectivity), τ (transmissivity).
- Conservation law: $\alpha + \rho + \tau = 1$.

Next Lecture Preview

- Topic: Black, white, and grey bodies
- Key questions to ponder:
 - - What makes a surface a 'perfect' emitter and absorber?
 - - How do real surfaces differ from ideal theoretical surfaces?

Ideal and Real Surfaces in Radiation

- Course: Heat and Mass Transfer (DI05019071)
- Unit 3: Radiation
- Lecture 23: Black, White, and Grey Bodies

Lecture Agenda

- 1. The Black Body Concept
- 2. Characteristics of a Black Body
- 3. Experimental Realization of a Black Body
- 4. The White Body Concept
- 5. The Opaque Body
- 6. The Grey Body Approximation
- 7. Real Surfaces vs. Grey Bodies

What is a Black Body?

- A black body is an idealized physical body that absorbs all incident electromagnetic radiation.
- Key Property: $\alpha = 1$
- Since it absorbs everything, it reflects and transmits nothing: $\rho = 0$, $\tau = 0$.
- It is a perfect absorber, regardless of wavelength or angle of incidence.

Characteristics of a Black Body

- 1. Perfect Absorber: Absorbs all incident radiation.
- 2. Perfect Emitter: For a prescribed temperature and wavelength, no surface can emit more energy than a black body.
- 3. Diffuse Emitter: Radiation emitted by a black body is independent of direction.
- Serves as a standard against which the radiative properties of real surfaces are compared.

Is a Black Body Actually 'Black'?

- The term 'black body' is a thermodynamic concept.
- Visual appearance depends on its temperature.
- At room temperature, it appears black (absorbs all visible light).
- At high temperatures, it can glow red, yellow, or white (e.g., the Sun, a filament).
- Snow and ice absorb almost all infrared radiation ($\alpha \approx 0.95$), acting nearly as black bodies in the IR spectrum!

Experimental Realization of a Black Body

- A large cavity with a very small opening acts as a near-perfect black body.
- Radiation entering the hole undergoes multiple internal reflections.
- With each reflection, a fraction is absorbed.
- Almost none of the incident radiation escapes back out the hole.
- The hole acts as a black body surface of area equal to the hole's area.

What is a White Body?

- A white body is an idealized surface that reflects all incident radiation.
- Key Property: $\rho = 1$
- Therefore: $\alpha = 0, \tau = 0$.
- It absorbs no energy and transmits no energy.
- Like the black body, it is a theoretical extreme.

Opaque Bodies

- As discussed previously, an opaque body transmits no radiation.
- Key Property: $\tau = 0$
- Conservation equation: $\alpha + \rho = 1$
- Most solids we analyze in engineering (metals, walls, machinery) are modeled as opaque bodies.

Real Surfaces vs. Idealizations

- Real surfaces emit and absorb less radiation than a black body.
- Their properties (α , ρ , τ) depend strongly on:
 - - The wavelength (λ) of the radiation.
 - - The direction (angle) of the radiation.
- This makes analyzing real surfaces mathematically complex.

The Grey Body Concept

- To simplify calculations, we use the Grey Body approximation.
- A Grey Body is defined as a surface whose properties (α , ρ) are independent of wavelength and direction.
- It absorbs a constant fraction of incident radiation across all wavelengths.
- $0 \leq \alpha_{\text{grey}} \leq 1$ (Constant)

Why Assume a Grey Body?

- Allows use of total (average) properties instead of spectral (wavelength-dependent) properties.
- Most engineering applications involve relatively narrow temperature ranges where the grey body approximation is highly accurate.
- Makes analytical solutions to radiation networks possible.

Summary

- Black Body: $\alpha = 1$ (Perfect absorber and emitter).
- White Body: $\rho = 1$ (Perfect reflector).
- Opaque Body: $\tau = 0$ ($\alpha + \rho = 1$).
- Grey Body: Properties are independent of wavelength (constant α).

Next Lecture Preview

- Topic: Emissive power and emissivity
- Key questions to ponder:
 - - How do we quantify the energy emitted by a black body versus a grey body?
 - - What is emissivity and how does it relate to absorptivity?

Emissive Power and Emissivity

- Course: Heat and Mass Transfer (DI05019071)
- Unit 3: Radiation
- Lecture 24: Emissive Power and Emissivity

Lecture Agenda

- 1. Definition of Emissive Power
- 2. Spectral vs. Total Emissive Power
- 3. Emissive Power of a Black Body
- 4. Definition of Emissivity
- 5. Emissivity of Real vs. Grey Bodies
- 6. Factors Affecting Emissivity

What is Emissive Power (E)?

- Emissive Power (E) is the radiant energy emitted by a surface per unit time per unit area.
- Units: Watts per square meter (W/m^2).
- It depends on:
 - - Surface temperature (T)
 - - Surface characteristics (material, roughness)
- It represents the total heat flux leaving a surface due to its own temperature.

Spectral Emissive Power (E_λ)

- Radiation is emitted across a range of wavelengths.
- Spectral Emissive Power (E_λ) is the rate of energy emission per unit area per unit wavelength interval at a specific wavelength λ .
- Units: $\text{W}/(\text{m}^2 \cdot \mu\text{m})$.
- It shows how emitted energy is distributed across the electromagnetic spectrum.

Total Emissive Power (E)

- Total Emissive Power is the total radiation emitted over ALL wavelengths.
- Mathematically, it is the integral of spectral emissive power from $\lambda=0$ to $\lambda=\infty$.
- $E = \int_0^\infty E_\lambda d\lambda$
- This is the total area under the spectral emissive power curve.

Black Body Emissive Power (E_b)

- Recall: A black body is a perfect emitter.
- For a given temperature T , no surface can emit more energy than a black body.
- E_b is the maximum possible emissive power at temperature T .
- Real surfaces always emit less: $E < E_b$ (at the same T).

Introducing Emissivity (ε)

- Emissivity (ε) is the ratio of the emissive power of a real surface to the emissive power of a black body at the same temperature.
- $\varepsilon = E / E_b$
- Where:
- E = Emissive power of the real surface
- E_b = Emissive power of a black body at same T

Properties of Emissivity

- Emissivity is a dimensionless number.
- Range: $0 \leq \varepsilon \leq 1$
- For a perfect Black Body: $\varepsilon = 1$ (since $E = E_b$)
- For a White Body: $\varepsilon = 0$ (emits nothing, reflects everything)
- For real surfaces: ε is typically between 0.05 and 0.95.

Spectral vs. Total Emissivity

- Spectral Emissivity (ϵ_λ): The emissivity at a specific wavelength.
- $\epsilon_\lambda = E_\lambda / E_{b\lambda}$
- Total Emissivity (ϵ): The average emissivity over all wavelengths.
- Real surfaces have emissivities that change with wavelength. This complicates calculations.

The Grey Body Approximation (Again)

- For a Grey Body, spectral emissivity is constant across all wavelengths.
- $\varepsilon_\lambda = \varepsilon = \text{constant}$
- This means the total emissivity is equal to the spectral emissivity.
- The emissive power curve of a grey body is simply a scaled-down version of the black body curve: $E_{\text{grey}} = \varepsilon * E_{\text{b}}$.

Factors Affecting Emissivity

- Emissivity of a surface depends on:
 - 1. Material type (metals vs non-metals)
 - 2. Surface condition (smooth vs rough, polished vs oxidized)
 - 3. Temperature (emissivity generally changes slightly with T)
- Example: Polished copper $\varepsilon \approx 0.03$. Heavily oxidized copper $\varepsilon \approx 0.78$.

Summary

- Emissive Power (E): Radiant energy emitted per unit area (W/m^2).
- Total E is the integral of Spectral E_λ over all wavelengths.
- Emissivity ($\varepsilon = E / E_b$): Ratio of real emission to black body emission.
- Grey body assumes constant ε across all wavelengths.

Next Lecture Preview

- Topic: Laws of Radiation
- Key questions to ponder:
 - - How exactly do we calculate E_b for a black body? (Stefan-Boltzmann Law)
 - - What is the mathematical relationship between emissivity and absorptivity? (Kirchhoff's Law)

Fundamental Laws of Radiation

- Course: Heat and Mass Transfer (DI05019071)
- Unit 3: Radiation
- Lecture 25: Laws of Radiation

Lecture Agenda

- 1. Planck's Law of Distribution
- 2. Wien's Displacement Law
- 3. Stefan-Boltzmann Law
- 4. Kirchhoff's Law
- 5. Lambert's Cosine Law

1. Planck's Distribution Law

- Formulated by Max Planck (1900).
- Describes the spectral emissive power of a black body ($E_{b\lambda}$) as a function of wavelength (λ) and temperature (T).
- Equation:
$$E_{b\lambda} = C_1 / [\lambda^5 * (\exp(C_2 / \lambda T) - 1)]$$
- Where C_1 and C_2 are radiation constants.

Planck's Law: Spectral Distribution

- Plotting Planck's law shows bell-shaped curves for different temperatures.
- Key observations:
 - - Emitted radiation varies continuously with wavelength.
 - - At any wavelength, magnitude increases with increasing temperature.
 - - The peak of the curve shifts to shorter wavelengths at higher temperatures.

2. Wien's Displacement Law

- Derived from Planck's Law by finding the maximum of the curve.
- States that the wavelength at which maximum emission occurs (λ_{max}) is inversely proportional to absolute temperature (T).
- Equation: $\lambda_{\text{max}} * T = 2898 \mu\text{m}\cdot\text{K}$
- This explains why hotter objects change color (red to yellow to blue-white).

3. Stefan-Boltzmann Law

- Provides the TOTAL emissive power of a black body.
- Obtained by integrating Planck's Law from $\lambda=0$ to ∞ .
- Equation for Black Body: $E_b = \sigma * T^4$
- σ = Stefan-Boltzmann constant = $5.67 \times 10 \text{ W}/(\text{m}^2 \cdot \text{K})$
- T must be in Kelvin!

Stefan-Boltzmann for Real Surfaces

- Real surfaces emit less than a black body.
- We incorporate Emissivity (ε) into the Stefan-Boltzmann law.
- Equation for Real Surface: $E = \varepsilon * \sigma * T^4$
- For a Grey Body, ε is a constant independent of wavelength.

4. Kirchhoff's Law

- Relates emission and absorption properties.
- States that at thermal equilibrium, the emissivity of a surface equals its absorptivity.
- Equation: $\varepsilon = \alpha$
- Conditions: Strict equilibrium is required, but it is broadly applied to grey bodies as an engineering approximation.

Implications of Kirchhoff's Law

- Since $\varepsilon = \alpha$, and for opaque bodies $\alpha + \rho = 1$:
- We can substitute: $\varepsilon + \rho = 1$
- Therefore, Emissivity = 1 - Reflectivity ($\varepsilon = 1 - \rho$)
- Highly reflective surfaces (like aluminum foil) have very low emissivity.

5. Lambert's Cosine Law

- Describes directional characteristics of diffuse emission.
- States that the radiation intensity emitted by a diffuse surface is directly proportional to the cosine of the angle between the observer's line of sight and the surface normal.
- Equation: $I_{\theta} = I_n * \cos(\theta)$
- Where I_n is intensity in the normal direction.

Lambert's Law: Diffuse Emitters

- A surface that obeys Lambert's Cosine Law is called a Lambertian surface or perfectly diffuse surface.
- Interestingly, while intensity varies with $\cos(\theta)$, the apparent area viewed by the observer also varies by $\cos(\theta)$.
- Result: A Lambertian surface appears equally bright from any viewing angle! (e.g., the Sun, matte paper).

Summary of Laws

- Planck: $E_b \lambda$ vs λ distribution curve.
- Wien: Peak wavelength $\lambda_{\max} \propto 1/T$.
- Stefan-Boltzmann: Total $E_b = \sigma T^4$.
- Kirchhoff: Emissivity $\varepsilon =$ Absorptivity α .
- Lambert: Intensity $I_\theta = I_n \cos(\theta)$.

Next Lecture Preview

- Topic: Concept of Shape Factor and Radiation Shields
- Key questions to ponder:
 - - When two surfaces exchange heat, how much of surface 1's radiation actually hits surface 2?
 - - How can we use reflective materials to drastically reduce radiation heat transfer?

Shape Factors and Radiation Shields

- Course: Heat and Mass Transfer (DI05019071)
- Unit 3: Radiation
- Lecture 26: Shape Factors, Radiation Shields, and Numerical Analysis

Lecture Agenda

- 1. Concept of Shape Factor (View Factor)
- 2. Shape Factor Algebra (Reciprocity & Summation)
- 3. Concept of Radiation Shields
- 4. Heat Transfer Reduction by Shields
- 5. Numerical Examples & Problem Solving

What is a Shape Factor?

- Also known as View Factor or Configuration Factor (F_{ij}).
- Definition: The fraction of radiation leaving surface 'i' that directly strikes surface 'j'.
- Purely a geometric property.
- Depends on the sizes, shapes, orientation, and distance between the two surfaces.
- $0 \leq F_{ij} \leq 1$

Self-Viewing Surfaces

- Can a surface radiate to itself? Yes, depending on its geometry.
- Flat or Convex surface: $F_{ii} = 0$ (cannot see itself).
- Concave surface: $F_{ii} > 0$ (can see itself).
- A portion of radiation leaving a concave cavity strikes another part of the same cavity.

Shape Factor Algebra: Reciprocity Theorem

- Relates the shape factor F_{ij} to F_{ji} .
- Equation: $A_i * F_{ij} = A_j * F_{ji}$
- Where A_i and A_j are the surface areas.
- Significance: If you know F_{12} , you can easily calculate F_{21} without complex integration.

Shape Factor Algebra: Enclosure Theorem

- Also known as the Summation Rule.
- For an enclosure of N surfaces, all radiation leaving one surface must strike some surface within the enclosure.
- Equation: $\sum F_{ij} = 1$ (summed from $j=1$ to N)
- Example for a 3-surface enclosure (leaving surface 1):
- $F_{11} + F_{12} + F_{13} = 1$

Radiation Heat Exchange (Grey Surfaces)

- For two infinite parallel grey surfaces, the net heat transfer is:
- $q_{12} = (\sigma * (T_1^4 - T_2^4)) / [(1/\epsilon_1) + (1/\epsilon_2) - 1]$
- This formula uses thermal resistance concepts.
- - Surface resistances: $(1-\epsilon)/\epsilon A$
- - Space resistance: $1/A * F_{12}$

Concept of Radiation Shields

- Radiation Shield: A highly reflective (low emissivity) material placed between two surfaces to reduce radiation heat transfer.
- They do not generate or remove heat; they add resistance to the radiation network.
- Commonly used in cryogenics, spacecraft (multi-layer insulation), and furnaces.

Effectiveness of a Radiation Shield

- Inserting 'n' shields with the same emissivity as the main plates reduces heat transfer by a factor of $(n + 1)$.
- $q_{\text{with_n_shields}} = q_{\text{without_shields}} / (n + 1)$
- Example: One shield cuts heat transfer exactly in half (if $\epsilon_{\text{shield}} = \epsilon_{\text{plates}}$).
- To maximize effectiveness, shield emissivity should be as close to zero as possible.

Numerical Example 1: Shape Factor

- Problem: A long concentric cylinder arrangement. Inner cylinder (1) radius r_1 , outer cylinder (2) radius r_2 .
- Find F_{11} , F_{12} , F_{21} , and F_{22} .
- Solution steps:
 - 1. Inner cylinder is convex: $F_{11} = 0$.
 - 2. Summation rule for inner: $F_{11} + F_{12} = 1 \Rightarrow F_{12} = 1$.
 - 3. Reciprocity: $A_1 F_{12} = A_2 F_{21} \Rightarrow F_{21} = (A_1/A_2) \cdot 1 = r_1/r_2$.
 - 4. Summation for outer: $F_{21} + F_{22} = 1 \Rightarrow F_{22} = 1 - (r_1/r_2)$.

Numerical Example 2: Heat Transfer

- Problem: Two large parallel plates at $T_1=800\text{K}$ ($\varepsilon_1=0.8$) and $T_2=400\text{K}$ ($\varepsilon_2=0.6$). Find heat flux (q/A).
- Formula: $q/A = \sigma^*(T_1^4 - T_2^4) / [(1/\varepsilon_1) + (1/\varepsilon_2) - 1]$
- Calculation:
 - $\sigma^*(800^4 - 400^4) = 5.67\text{e-}8 * (4096\text{e}8 - 256\text{e}8) = 21772.8 \text{ W/m}^2$
 - Denominator: $(1/0.8) + (1/0.6) - 1 = 1.25 + 1.667 - 1 = 1.917$
 - Result: $q/A = 21772.8 / 1.917 = 11,357 \text{ W/m}^2$.

Summary of Unit 3: Radiation

- Radiation requires no medium; it's governed by temperature and surface properties (α , ρ , τ , ε).
- Black bodies are ideal references; Grey bodies approximate real surfaces.
- Stefan-Boltzmann ($E=\sigma T$) is the core governing law.
- Shape factors (geometry) and Radiation shields (resistances) allow us to solve real-world system networks.

Looking Ahead

- Next Unit: Unit 4 - Heat Exchangers
- We will combine conduction, convection, and (rarely) radiation to analyze industrial equipment designed to transfer heat between two fluids.

Classification of Heat Exchangers

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat Exchanger
- Lecture 27: Classification

Lecture Agenda

- 1. What is a Heat Exchanger?
- 2. Applications
- 3. Classification by Flow Arrangement
- 4. Classification by Construction
- 5. Regenerators vs. Recuperators

What is a Heat Exchanger?

- A heat exchanger is a device that facilitates the exchange of heat between two fluids that are at different temperatures.
- The fluids may be separated by a solid wall to prevent mixing or they may be in direct contact.
- Primary goal: Heating or cooling of a fluid, or changing its state (condensation or evaporation).

Applications

- 1. Power plants (Boilers, Condensers, Cooling towers)
- 2. HVAC systems (Heating, Ventilation, and Air Conditioning)
- 3. Automotive industry (Radiators, Oil coolers)
- 4. Chemical and food processing industries
- 5. Refrigeration systems (Evaporators, Condensers)

Classification by Flow Arrangement

- Heat exchangers can be classified based on the relative direction of fluid motion:
 - 1. Parallel Flow: Both fluids move in the same direction.
 - 2. Counter Flow: Fluids move in opposite directions.
 - 3. Cross Flow: Fluids move perpendicular to each other.

Parallel Flow Heat Exchangers

- Hot and cold fluids enter at the same end, flow in the same direction, and leave at the same end.
- Large temperature difference at the inlet, which rapidly decreases along the length.
- Outlet temperature of cold fluid can never exceed the outlet temperature of the hot fluid.

Counter Flow Heat Exchangers

- Hot and cold fluids enter at opposite ends and flow in opposite directions.
- More uniform temperature difference along the length.
- Highly efficient: Cold fluid outlet temperature can exceed the hot fluid outlet temperature.

Cross Flow Heat Exchangers

- Fluids move perpendicular to each other.
- Commonly used when one of the fluids is a gas (like air).
- Sub-classified into Mixed and Unmixed flow depending on whether the fluid is allowed to mix in the transverse direction.

Classification by Construction

- Based on how they are built, heat exchangers fall into several categories:
- 1. Tubular (e.g., Shell and Tube, Double pipe)
- 2. Plate (e.g., Plate and frame)
- 3. Extended Surface (e.g., Finned tube)
- 4. Regenerative vs Recuperative

Shell and Tube Heat Exchangers

- Consists of a large shell with a bundle of tubes inside.
- One fluid flows inside the tubes, the other flows outside over the tubes (in the shell).
- Baffles are used in the shell to direct flow and increase turbulence and heat transfer.
- Very common in industrial processes due to robustness.

Compact Heat Exchangers

- Designed to realize a large heat transfer surface area per unit volume.
- Typically area density greater than $700 \text{ m}^2/\text{m}^3$.
- Often use closely spaced fins or plates.
- Ideal for applications where weight and volume are restricted (e.g., aerospace, automotive).

Summary

- Heat exchangers transfer thermal energy between fluids.
- Flow arrangements include parallel, counter, and cross flow.
- Counter flow is generally the most thermally efficient.
- Construction types include shell and tube, plate, and compact designs depending on application needs.

Next Lecture Preview

- Topic: Heat Exchanger Analysis
- - Overall energy balance
- - Heat transfer rate equations
- - Assumptions used in thermal analysis of heat exchangers

Heat Exchanger Analysis

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat Exchanger
- Lecture 28: Heat Exchanger Analysis

Lecture Agenda

- 1. Goals of Heat Exchanger Analysis
- 2. Overall Energy Balance
- 3. Heat Transfer Rate Equations
- 4. Key Assumptions in Analysis
- 5. Heat Capacity Rates
- 6. Need for Mean Temperature Difference

Goals of Heat Exchanger Analysis

- Analysis typically involves two types of problems:
- 1. Performance evaluation: Determining the heat transfer rate and fluid outlet temperatures for an existing heat exchanger.
- 2. Design problem: Determining the required surface area to achieve specific outlet temperatures given flow rates and inlet temperatures.

Overall Energy Balance

- Based on the First Law of Thermodynamics (Conservation of Energy).
- In a steady-flow device, the rate of heat transfer from the hot fluid equals the rate of heat transfer to the cold fluid.
- $Q_{\text{hot_rejected}} = Q_{\text{cold_absorbed}}$ (assuming no heat loss to surroundings).

Heat Transfer Rate Equations

- For the hot fluid: $Q = m_h * c_{ph} * (T_{hi} - T_{ho})$
- For the cold fluid: $Q = m_c * c_{pc} * (T_{co} - T_{ci})$
- Where: m = mass flow rate, c_p = specific heat, T_i = inlet temp, T_o = outlet temp.

Key Assumptions in Analysis

- 1. Steady-flow operating conditions.
- 2. Kinetic and potential energy changes are negligible.
- 3. Specific heats of fluids remain constant.
- 4. Axial heat conduction along the tubes is negligible.
- 5. The outer surface of the heat exchanger is perfectly insulated (no heat loss).

Temperature Profiles

- Temperature of fluids changes continuously as they flow through the exchanger.
- The driving force for heat transfer is the temperature difference ($T_h - T_c$) at any location.
- This local difference varies along the length of the exchanger.

Heat Capacity Rates

- A useful parameter is the heat capacity rate, C .
- Defined as the product of mass flow rate and specific heat.
- $C_h = m_h * c_{ph}$ (for hot fluid)
- $C_c = m_c * c_{pc}$ (for cold fluid)
- Equations become: $Q = C_h * (T_{hi} - T_{ho}) = C_c * (T_{co} - T_{ci})$

Phase Change Considerations

- If a fluid undergoes a phase change (like boiling or condensation), its temperature remains constant.
- In this case, the heat transfer rate is $Q = m \cdot h_{fg}$
- Where h_{fg} is the latent heat of vaporization or condensation.
- The heat capacity rate C approaches infinity during phase change.

Newton's Law of Cooling in HE

- Locally, heat transfer rate is expressed as: $dQ = U * dA * (T_h - T_c)$
- Where U is the overall heat transfer coefficient.
- dA is a differential surface area.
- T_h and T_c are local temperatures.

Need for Mean Temperature Difference

- Integrating $dQ = U * dA * \Delta T$ gives $Q = U * A * \Delta T_{\text{mean}}$
- Since ΔT varies along the length, we cannot use a simple arithmetic average.
- We require a properly weighted average temperature difference to calculate total Q accurately.

Summary

- Energy balances allow us to relate heat transfer to fluid temperature changes.
- $Q = C_h * \Delta T_h = C_c * \Delta T_c$
- Assumptions like steady-state and zero heat loss simplify analysis.
- A mean temperature difference is required to use $Q = U * A * \Delta T_m$.

Next Lecture Preview

- Topic: Log Mean Temperature Difference (LMTD)
- - Derivation of LMTD for parallel flow
- - Derivation of LMTD for counter flow
- - Application to condensers and evaporators

Log Mean Temperature Difference (LMTD)

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat Exchanger
- Lecture 29: LMTD for Parallel, Counter Flow, Condenser & Evaporator

Lecture Agenda

- 1. The Concept of LMTD
- 2. Derivation of LMTD for Parallel Flow
- 3. Derivation of LMTD for Counter Flow
- 4. Comparing Parallel vs. Counter Flow
- 5. LMTD for Condensers
- 6. LMTD for Evaporators

The Concept of LMTD

- From Newton's Law of Cooling, total heat transfer $Q = U * A * \Delta T_m$.
- ΔT_m must account for the non-linear temperature profiles of the fluids.
- By integrating the local heat transfer equations along the length, we obtain a logarithmic average.
- This is called the Log Mean Temperature Difference (LMTD).

Derivation Setup: Parallel Flow

- Consider a differential area dA in a parallel flow exchanger.
- Hot fluid temperature changes by dT_h (negative).
- Cold fluid temperature changes by dT_c (positive).
- Local temperature difference $\Delta T = T_h - T_c$.

LMTD Parallel Flow Equation

- Integrating $d(\Delta T) / \Delta T$ from inlet to outlet yields:
- $LMTD = (\Delta T_1 - \Delta T_2) / \ln(\Delta T_1 / \Delta T_2)$
- Where for Parallel Flow:
- $\Delta T_1 = T_{hi} - T_{ci}$ (Inlet temperature difference)
- $\Delta T_2 = T_{ho} - T_{co}$ (Outlet temperature difference)

Derivation Setup: Counter Flow

- For counter flow, fluids move in opposite directions.
- Hot fluid enters at one end, cold fluid enters at the other.
- The derivation steps are similar, but the temperature boundary conditions change.

LMTD Counter Flow Equation

- The LMTD formula remains the same:
- $LMTD = (\Delta T_1 - \Delta T_2) / \ln(\Delta T_1 / \Delta T_2)$
- However, the definitions change for Counter Flow:
- $\Delta T_1 = T_{hi} - T_{co}$ (Hot inlet minus Cold outlet)
- $\Delta T_2 = T_{ho} - T_{ci}$ (Hot outlet minus Cold inlet)

Comparing Parallel vs. Counter Flow

- For the same inlet and outlet temperatures, $LMTD_{counter} > LMTD_{parallel}$.
- Since $Q = U * A * LMTD$, a larger LMTD means a smaller required surface area (A) for the same Q and U.
- Therefore, counter flow heat exchangers are more compact and economical.

Condensers in Heat Exchangers

- In a condenser, the hot fluid (vapor) condenses at a constant temperature ($T_h = \text{constant}$).
- The cold fluid absorbs heat and its temperature rises.
- Since T_h is constant, parallel and counter flow configurations yield the same temperature profile.

Evaporators in Heat Exchangers

- In an evaporator, the cold fluid (liquid) boils at a constant temperature ($T_c = \text{constant}$).
- The hot fluid rejects heat and its temperature drops.
- Similar to condensers, the flow direction (parallel vs counter) does not affect the LMTD.

LMTD for Phase Change

- For condensers and evaporators, use the standard LMTD formula.
- ΔT_1 and ΔT_2 are simply the temperature differences at the two ends.
- $LMTD_{parallel} = LMTD_{counter}$ for any phase change exchanger where one temperature is constant.

Summary

- LMTD is the exact mean temperature difference for heat exchanger analysis.
- Counter flow LMTD is greater than parallel flow LMTD for the same conditions.
- Phase change devices have identical LMTD regardless of flow arrangement.

Next Lecture Preview

- Topic: Overall Heat Transfer Coefficient
- - Thermal resistance network in heat exchangers
- - Definition of U based on inner and outer areas
- - Fouling factors and their impact on performance

Overall Heat Transfer Coefficient

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat Exchanger
- Lecture 30: Overall Heat Transfer Coefficient & Fouling

Lecture Agenda

- 1. Heat Transfer Process in a Tube
- 2. Thermal Resistance Network
- 3. Overall Heat Transfer Coefficient (U)
- 4. U based on Inside vs. Outside Area
- 5. Introduction to the Fouling Factor
- 6. Design Considerations for Fouling

Heat Transfer Process in a Tube

- Heat transfers from hot fluid to cold fluid through three mechanisms:
- 1. Convection from hot fluid to the inner wall.
- 2. Conduction through the solid tube wall.
- 3. Convection from the outer wall to the cold fluid.

Thermal Resistance Network

- Total thermal resistance $R_{\text{total}} = R_{\text{conv},i} + R_{\text{wall}} + R_{\text{conv},o}$
- $R_{\text{conv},i} = 1 / (h_i * A_i)$ (Inner convection resistance)
- $R_{\text{wall}} = \ln(r_o / r_i) / (2 * \pi * k * L)$ (Cylindrical wall conduction resistance)
- $R_{\text{conv},o} = 1 / (h_o * A_o)$ (Outer convection resistance)

Overall Heat Transfer Coefficient (U)

- $Q = (T_h - T_c) / R_{total} = U * A * (T_h - T_c)$
- Therefore, $U * A = 1 / R_{total}$
- U is the overall heat transfer coefficient.
- It represents the total ability of the system to transfer heat.

U based on Inside vs. Outside Area

- Since the inner area (A_i) and outer area (A_o) of a tube are different, U depends on which area is used.
- $U_i * A_i = U_o * A_o = 1 / R_{total}$
- $1 / (U_i * A_i) = 1/(h_i * A_i) + R_{wall} + 1/(h_o * A_o)$
- When specifying U , one must specify if it is U_i or U_o .

Simplifying U for Thin-Walled Tubes

- If the tube wall is very thin and highly conductive, R_{wall} approaches 0.
- Also, A_i is approximately equal to A_o .
- The equation simplifies to: $1/U = 1/h_i + 1/h_o$
- U is dominated by the smaller convection coefficient (the larger resistance).

The Fouling Factor (R_f)

- Over time, surfaces accumulate deposits (scale, rust, algae).
- These deposits act as additional insulation, reducing heat transfer.
- The effect of these deposits is represented by a fouling factor, R_f .
- R_f has units of $\text{m}^2 \text{K} / \text{W}$.

Impact of Fouling on Heat Transfer

- Fouling adds additional thermal resistances to our network.
- New equation for U based on outer area:
- $1 / U_o = (A_o/A_i)(1/h_i) + (A_o/A_i)R_{f,i} + A_o*R_{wall} + R_{f,o} + 1/h_o$
- As R_f increases, U decreases, and Q drops.

Typical Values of Fouling Factors

- Distilled water: $R_f = 0.0001 \text{ m}^2 \text{ K/W}$
- City water: $R_f = 0.0002 \text{ m}^2 \text{ K/W}$
- River water: $R_f = 0.001 \text{ m}^2 \text{ K/W}$
- Fuel oil: $R_f = 0.0009 \text{ m}^2 \text{ K/W}$
- Notice that dirtier fluids have much higher fouling factors.

Design Considerations for Fouling

- Engineers must oversize heat exchangers to account for future fouling.
- A heat exchanger designed with a clean U value will fail to meet requirements after a few months.
- Periodic cleaning schedules must be planned to restore U to design levels.

Summary

- U incorporates all convective and conductive resistances.
- U must be referenced to a specific area (inside or outside).
- Fouling adds thermal resistance and degrades performance over time.
- Heat exchangers must be oversized to compensate for fouling.

Next Lecture Preview

- Topic: Effectiveness of Heat Exchanger (NTU Method)
- - What to do when outlet temperatures are unknown
- - Definition of heat exchanger effectiveness
- - The Number of Transfer Units (NTU)

Effectiveness of Heat Exchanger (NTU Method)

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat Exchanger
- Lecture 31: Effectiveness - NTU Method

Lecture Agenda

- 1. Limitations of the LMTD Method
- 2. The NTU Method Overview
- 3. Maximum Possible Heat Transfer ($Q_{\max}$)
- 4. Heat Exchanger Effectiveness (Epsilon)
- 5. Number of Transfer Units (NTU)
- 6. Epsilon-NTU Relations

Limitations of the LMTD Method

- LMTD is easy to use if all inlet and outlet temperatures are known (Design problems).
- If only the inlet temperatures are known, outlet temperatures must be guessed and iterated.
- Performance evaluation problems (finding Q for a given exchanger) are tedious with LMTD.
- Need a method that avoids iteration.

The Effectiveness-NTU Method

- Developed by Kays and London in 1955.
- Predicts the outlet temperatures and heat transfer rate without iteration.
- Based on a dimensionless parameter called heat exchanger effectiveness (ϵ).
- Highly preferred for performance evaluation of existing heat exchangers.

Determining $C_{\min}$ and $C_{\max}$

- Recall heat capacity rates: $C_h = m_h * c_{ph}$ and $C_c = m_c * c_{pc}$.
- Compare C_h and C_c .
- The smaller value is designated as $C_{\min}$.
- The larger value is designated as $C_{\max}$.
- The fluid with $C_{\min}$ will experience the largest temperature change.

Maximum Possible Heat Transfer ($Q_{\max}$)

- What is the maximum heat transfer theoretically possible?
- It occurs in a very long counter-flow heat exchanger.
- The fluid with $C_{\min}$ would reach the inlet temperature of the other fluid.
- $Q_{\max} = C_{\min} * (T_{h,in} - T_{c,in})$

Heat Exchanger Effectiveness

- Effectiveness (epsilon) = Actual heat transfer rate / Maximum possible heat transfer rate
- $\epsilon = Q / Q_{\max}$
- Since $Q = C_c(T_{c,\text{out}} - T_{c,\text{in}}) = C_h(T_{h,\text{in}} - T_{h,\text{out}})$
- epsilon is a dimensionless number between 0 and 1.

Number of Transfer Units (NTU)

- NTU is a dimensionless parameter widely used for heat exchanger analysis.
- $NTU = (U * A) / C_{min}$
- It is a measure of the heat transfer surface area (A).
- A larger NTU means a physically larger heat exchanger.

Capacity Ratio (c)

- Another dimensionless parameter is the capacity ratio, c .
- $c = C_{\min} / C_{\max}$
- c ranges from 0 to 1.
- For phase change (boiling or condensation), $C_{\max}$ approaches infinity, so $c = 0$.

Effectiveness Relations: Parallel Flow

- Effectiveness can be expressed as a function of NTU and c :
 $\epsilon = f(\text{NTU}, c)$
- For Parallel Flow:
- $\epsilon = [1 - \exp(-\text{NTU}(1+c))] / (1+c)$
- Charts are available to look up ϵ based on NTU and c .

Effectiveness Relations: Counter Flow

- For Counter Flow (when $c \neq 1$):
- $\epsilon = [1 - \exp(-NTU(1-c))] / [1 - c \exp(-NTU(1-c))]$
- If $c = 1$ ($C_{\min} = C_{\max}$): $\epsilon = NTU / (1 + NTU)$
- Counter flow charts show higher effectiveness for the same NTU.

Summary

- The NTU method eliminates iteration when outlet temperatures are unknown.
- Effectiveness (epsilon) compares actual heat transfer to theoretical maximum.
- $NTU = UA / C_{\min}$ is a dimensionless measure of size.
- Effectiveness charts map epsilon, NTU, and c .

Next Lecture Preview

- Topic: Advanced Heat Exchanger Concepts
- - Concept of heat pipe
- - Compact heat exchanger design
- - Specialized applications

LMTD for Parallel and Counter Flow Exchangers

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat Exchanger
- Lecture 32: LMTD for Parallel and Counter Flow Exchangers

Lecture Agenda

- 1. Introduction to Mean Temperature Difference
- 2. Temperature Distribution in Parallel Flow
- 3. Derivation of LMTD for Parallel Flow
- 4. Temperature Distribution in Counter Flow
- 5. Derivation of LMTD for Counter Flow
- 6. Comparison of Flow Types

Introduction to LMTD

- In a heat exchanger, temperatures of both fluids change as they flow.
- The temperature difference ΔT between hot and cold fluids varies along the length.
- Newton's Law of Cooling: $q = U A \Delta T_{\text{mean}}$
- We need an appropriate average ΔT , which is the Logarithmic Mean Temperature Difference (LMTD).

Why Not Arithmetic Mean?

- Arithmetic Mean Temperature Difference (AMTD) = $(\Delta T_1 + \Delta T_2) / 2$
- AMTD is only accurate if the temperature profiles are linear.
- In reality, heat transfer rate decreases as ΔT decreases, leading to exponential temperature profiles.
- Therefore, AMTD overestimates the actual mean temperature difference.

Temperature Distribution in Parallel Flow

- Both hot and cold fluids enter at the same end and flow in the same direction.
- Hot fluid temperature (T_h) drops; cold fluid temperature (T_c) rises.
- ΔT is maximum at the inlet (ΔT_1) and minimum at the outlet (ΔT_2).
- $\Delta T_1 = T_{h,in} - T_{c,in}$
- $\Delta T_2 = T_{h,out} - T_{c,out}$

Derivation of LMTD for Parallel Flow (Part 1)

- Consider a small area element dA .
- Heat transfer: $dq = U dA (T_h - T_c) = U dA \Delta T$
- Energy balance for hot fluid: $dq = -m_h c_{p,h} dT_h = -C_h dT_h$
- Energy balance for cold fluid: $dq = m_c c_{p,c} dT_c = C_c dT_c$
- $d(\Delta T) = dT_h - dT_c = -dq(1/C_h + 1/C_c)$

Derivation of LMTD for Parallel Flow (Part 2)

- Substitute $dq = U dA \Delta T$ into the equation:
- $d(\Delta T) = - U dA \Delta T (1/C_h + 1/C_c)$
- Rearranging: $d(\Delta T)/\Delta T = - U (1/C_h + 1/C_c) dA$
- Integrating from inlet (1) to outlet (2):
- $\ln(\Delta T_2 / \Delta T_1) = - U A (1/C_h + 1/C_c)$

Final LMTD Formula for Parallel Flow

- From overall energy balance: $1/C_h = (T_{h,in} - T_{h,out})/q$ and $1/C_c = (T_{c,out} - T_{c,in})/q$
- Substitute these back:
- $\ln(\Delta T_2 / \Delta T_1) = - U A / q * (\Delta T_1 - \Delta T_2)$
- Rearranging for q: $q = U A [(\Delta T_1 - \Delta T_2) / \ln(\Delta T_1 / \Delta T_2)]$
- Thus, $LMTD = (\Delta T_1 - \Delta T_2) / \ln(\Delta T_1 / \Delta T_2) = (\Delta T_2 - \Delta T_1) / \ln(\Delta T_2 / \Delta T_1)$

Temperature Distribution in Counter Flow

- Fluids enter at opposite ends and flow in opposite directions.
- Inlet 1: Hot fluid in, Cold fluid out. $\Delta T_1 = T_{h,in} - T_{c,out}$
- Inlet 2: Hot fluid out, Cold fluid in. $\Delta T_2 = T_{h,out} - T_{c,in}$
- Temperature difference ΔT is more uniform along the length.

Derivation of LMTD for Counter Flow

- Energy balances are similar, but dT_c is negative along positive x .
- $dq = -C_h dT_h = -C_c dT_c$ (since cold fluid flows opposite)
- $d(\Delta T) = dT_h - dT_c = -dq(1/C_h - 1/C_c)$
- Integrating gives: $\ln(\Delta T_2 / \Delta T_1) = -U A (1/C_h - 1/C_c)$

Final LMTD Formula for Counter Flow

- Substituting the capacity rates as before yields the exact same mathematical form:
- $LMTD = (\Delta T_1 - \Delta T_2) / \ln(\Delta T_1 / \Delta T_2)$
- IMPORTANT: The definitions of ΔT_1 and ΔT_2 are different from parallel flow!
- Parallel: $\Delta T_1 = T_{h,in} - T_{c,in}$; $\Delta T_2 = T_{h,out} - T_{c,out}$
- Counter: $\Delta T_1 = T_{h,in} - T_{c,out}$; $\Delta T_2 = T_{h,out} - T_{c,in}$

Comparison: Parallel vs Counter Flow

- For the same inlet and outlet temperatures, $LMTD_{counter} > LMTD_{parallel}$.
- Higher LMTD means a smaller required Area (A) for the same heat transfer (q).
- Counter flow is more efficient and requires a smaller heat exchanger.
- Counter flow allows $T_{c,out} > T_{h,out}$, which is impossible in parallel flow.

Summary & Next Lecture

- LMTD is the appropriate average temperature difference for heat exchangers.
- The formula is $LMTD = (\Delta T_1 - \Delta T_2) / \ln(\Delta T_1 / \Delta T_2)$.
- ΔT definitions depend heavily on the flow arrangement.
- Counter flow is thermally more efficient than parallel flow.
- Next Lecture: LMTD for Condensers and Evaporators, and Cross-flow arrangements.

LMTD for Condensers and Evaporators

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat Exchanger
- Lecture 33: Condensers, Evaporators, and Cross-flow

Lecture Agenda

- 1. Concept of Phase Change in Exchangers
- 2. Temperature Profiles in Condensers
- 3. Temperature Profiles in Evaporators
- 4. LMTD for Phase Change
- 5. Cross-flow and Multipass Exchangers
- 6. The Correction Factor (F)

Phase Change in Heat Exchangers

- In many industrial processes, one fluid undergoes a phase change (boiling or condensation).
- During phase change at a constant pressure, the temperature of the fluid remains constant.
- Specific heat capacity (c_p) is effectively infinite during phase change.
- Therefore, heat capacity rate ($C = m c_p$) approaches infinity.

Temperature Distribution in Condensers

- Hot fluid (vapor) condenses into liquid at a constant temperature (T_h).
- Cold fluid temperature (T_c) increases as it absorbs the latent heat.
- $T_{h,in} = T_{h,out} = T_h$ (constant line on the graph).
- T_c rises from $T_{c,in}$ to $T_{c,out}$.

Temperature Distribution in Evaporators

- Cold fluid (liquid) boils into vapor at a constant temperature (T_c).
- Hot fluid temperature (T_h) decreases as it provides the latent heat.
- $T_{c,in} = T_{c,out} = T_c$ (constant line on the graph).
- T_h drops from $T_{h,in}$ to $T_{h,out}$.

LMTD for Condensers and Evaporators

- Because one temperature is constant, ΔT_1 and ΔT_2 are the same regardless of flow direction.
- Parallel flow and counter flow arrangements give the exact same LMTD.
- Condenser: $\Delta T_1 = T_h - T_{c,in}$; $\Delta T_2 = T_h - T_{c,out}$
- Evaporator: $\Delta T_1 = T_{h,in} - T_c$; $\Delta T_2 = T_{h,out} - T_c$
- $LMTD = (\Delta T_1 - \Delta T_2) / \ln(\Delta T_1 / \Delta T_2)$

Beyond Parallel and Counter Flow

- Pure parallel or counter flow is often impractical for large surface areas.
- Industry uses Cross-flow (fluids flow perpendicular to each other).
- Industry also uses Multipass shell-and-tube exchangers (e.g., 1 shell pass, 2 tube passes).
- The standard LMTD formula assumes pure parallel or counter flow.

The Correction Factor (F)

- For cross-flow and multipass exchangers, we modify the LMTD equation.
- $q = U A F \text{ LMTD}_{cf}$
- Where LMTD_{cf} is the LMTD calculated assuming pure counter flow.
- F is a correction factor ($0 \leq F \leq 1$).
- If $F \leq 0.75$, the heat exchanger design is generally considered uneconomical.

How to Find F

- F is found using published charts (Bowman, Mueller, and Nagle charts).
- The charts depend on two dimensionless temperature ratios: P and R.
- $P \text{ (Capacity ratio)} = (t_2 - t_1) / (T_1 - t_1)$
- $R \text{ (Temperature ratio)} = (T_1 - T_2) / (t_2 - t_1)$
- (Where T = shell side temps, t = tube side temps)

Correction Factor for Phase Change

- What happens to the Correction Factor (F) in a condenser or evaporator?
- Since one fluid temperature is constant, $R = 0$ or $R = \text{infinity}$.
- Looking at the F -charts, for these values, $F = 1.0$ exactly.
- Conclusion: For phase change, $q = U A \text{ LMTD}$ (no correction needed for cross/multipass).

Steps for LMTD Method

- 1. Calculate heat duty (q) if temperatures and mass flows are known.
- 2. Determine unknown inlet/outlet temperatures using energy balance.
- 3. Calculate LMTD for counter flow arrangement.
- 4. Find P and R , then read F from the appropriate chart (if cross/multipass).
- 5. Calculate Area $A = q / (U F \text{ LMTD}_{cf})$.

Limitations of the LMTD Method

- LMTD is great for sizing problems (finding Area A when temps are known).
- LMTD is difficult for performance problems (finding outlet temps when A is known).
- If outlet temps are unknown, LMTD requires tedious trial-and-error iterations.
- To solve this, we will introduce the Effectiveness-NTU method in upcoming lectures.

Summary & Next Lecture

- Flow direction doesn't matter for condensers and evaporators.
- Cross-flow and multipass designs require a correction factor F .
- $F = 1$ for any phase change process.
- LMTD method requires iteration if outlet temperatures are unknown.
- Next Lecture: Overall Heat Transfer Coefficient and Fouling Factors.

Overall Heat Transfer Coefficient

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat Exchanger
- Lecture 34: Overall Heat Transfer Coefficient and Fouling

Lecture Agenda

- 1. Recap of Heat Transfer Modes
- 2. The Thermal Resistance Network
- 3. Defining the Overall Heat Transfer Coefficient (U)
- 4. U based on Inner and Outer Areas
- 5. The Problem of Fouling
- 6. Fouling Factors (R_f) and their effect on U

The Heat Transfer Path

- In a tubular heat exchanger, heat transfers from the hot fluid to the cold fluid via 3 steps:
- 1. Convection from hot fluid to the inner pipe wall.
- 2. Conduction through the pipe wall.
- 3. Convection from the outer pipe wall to the cold fluid.
- $q = \Delta T_{\text{total}} / R_{\text{total}}$

Thermal Resistance Network

- $R_{\text{total}} = R_{\text{conv,inner}} + R_{\text{cond,wall}} + R_{\text{conv,outer}}$
- $R_{\text{conv,inner}} = 1 / (h_i A_i)$
- $R_{\text{cond,wall}} = \ln(r_o/r_i) / (2\pi k L)$ (For a cylindrical pipe)
- $R_{\text{conv,outer}} = 1 / (h_o A_o)$
- $R_{\text{total}} = 1 / (h_i A_i) + \ln(r_o/r_i) / (2\pi k L) + 1 / (h_o A_o)$

Defining U (Clean Surfaces)

- We define U such that: $q = U A \Delta T = \Delta T / R_{\text{total}}$
- Therefore, $U A = 1 / R_{\text{total}}$
- Since $A_i \neq A_o$ for a pipe, we can define U based on either area.
- $U_i A_i = U_o A_o = 1 / R_{\text{total}}$
- $U_i = 1 / [A_i/A_i h_i + A_i \ln(r_o/r_i)/(2\pi k L) + A_i/(A_o h_o)]$

Approximations for Thin Tubes

- For thin-walled tubes (like in most compact heat exchangers), $A_i \approx A_o \approx A$.
- The wall resistance is often very small compared to convection.
- If $R_{\text{cond,wall}} \approx 0$, then:
- $1 / U \approx 1 / h_i + 1 / h_o$
- $U \approx (h_i * h_o) / (h_i + h_o)$

The Problem of Fouling

- Over time, heat exchanger surfaces accumulate deposits.
- Scaling (minerals), rust, biological growth (algae), or suspended solids.
- This deposit acts as an additional layer of insulation.
- This phenomenon is called 'fouling' and it severely reduces U .

The Fouling Factor (R_f)

- We account for this degradation using a Fouling Factor, R_f .
- R_f has units of $\text{m}^2 \cdot \text{K} / \text{W}$ or $(\text{hr} \cdot \text{ft}^2 \cdot ^\circ\text{F}) / \text{Btu}$.
- It represents the thermal resistance of the fouling layer.
- There can be fouling on the inside (R_{fi}) and the outside (R_{fo}).

U with Fouled Surfaces

- The total thermal resistance now has 5 terms:
- $R_{\text{total}} = 1/(h_i A_i) + R_{fi}/A_i + R_{\text{wall}} + R_{fo}/A_o + 1/(h_o A_o)$
- $1 / (U_o A_o) = 1/(h_i A_i) + R_{fi}/A_i + \ln(r_o/r_i)/(2\pi kL) + R_{fo}/A_o + 1/(h_o A_o)$
- Fouling always decreases the Overall Heat Transfer Coefficient.

Typical Values of Fouling Factors

- Distilled water: $R_f \approx 0.0001 \text{ m}^2\cdot\text{K}/\text{W}$
- City water: $R_f \approx 0.0002 \text{ m}^2\cdot\text{K}/\text{W}$
- River water: $R_f \approx 0.0005 \text{ m}^2\cdot\text{K}/\text{W}$
- Fuel oil: $R_f \approx 0.0009 \text{ m}^2\cdot\text{K}/\text{W}$
- Untreated cooling tower water requires frequent cleaning.

Controlling Heat Transfer

- The overall U is dominated by the smallest convection coefficient (h_i or h_o).
- If h_i is water (large) and h_o is air (very small), U will be close to h_o .
- To improve a heat exchanger, focus on improving the side with the lowest ' h '.
- This is why fins are added to the air side of car radiators!

Example Application

- Given: $h_i = 1000 \text{ W/m}^2\text{K}$, $h_o = 100 \text{ W/m}^2\text{K}$ (thin tube).
- Clean $U \approx (1000 \cdot 100) / (1100) = 90.9 \text{ W/m}^2\text{K}$.
- If a fouling factor of $0.002 \text{ m}^2\text{K/W}$ develops on the inside:
- $1/U_{\text{dirty}} = 1/90.9 + 0.002 = 0.011 + 0.002 = 0.013$
- $U_{\text{dirty}} = 76.9 \text{ W/m}^2\text{K}$ (a 15% drop in performance).

Summary & Next Lecture

- U combines convection and conduction into a single term.
- U_o and U_i differ due to differing surface areas.
- Fouling introduces additional thermal resistance, lowering U .
- Designers must use fouled U -values to ensure long-term operation.
- Next Lecture: The Effectiveness-NTU Method.

Effectiveness of Heat Exchanger and NTU Method

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat Exchanger
- Lecture 35: Effectiveness-NTU Method (Part 1)

Lecture Agenda

- 1. Why not use LMTD?
- 2. Heat Capacity Rate (C)
- 3. Maximum Possible Heat Transfer ($q_{\max}$)
- 4. Heat Exchanger Effectiveness (ε)
- 5. The Number of Transfer Units (NTU)
- 6. Capacity Ratio (c)

The Limitation of LMTD

- LMTD is excellent for 'Sizing' problems:
 - - You know inlet and outlet temps, you find LMTD, then find Area.
- LMTD is terrible for 'Performance' problems:
 - - You have a specific heat exchanger (Area known).
 - - You know inlet temps, but NOT outlet temps.
 - - Finding outlet temps requires guessing them, calculating LMTD, and iterating until things match.

Heat Capacity Rate (C)

- First, we define the Heat Capacity Rate, C.
- $C = \text{mass flow rate (m)} * \text{specific heat (cp)}$
- $C_h = m_h * cp_h$ (Hot fluid capacity rate)
- $C_c = m_c * cp_c$ (Cold fluid capacity rate)
- Units: W/K or J/s·K

Identifying $C_{\min}$ and $C_{\max}$

- Calculate both C_h and C_c .
- The smaller value is designated as $C_{\min}$.
- The larger value is designated as $C_{\max}$.
- The fluid with $C_{\min}$ will experience the LARGER temperature change (ΔT) in the heat exchanger.
- Since $q = C * \Delta T$, if C is small, ΔT must be large for the same q .

Maximum Possible Heat Transfer ($q_{\max}$)

- What is the theoretical maximum heat transfer possible?
- It happens in an infinitely long counter-flow heat exchanger.
- The fluid with $C_{\min}$ will reach the inlet temperature of the other fluid.
- $q_{\max} = C_{\min} * (T_{h,in} - T_{c,in})$
- The max temperature difference is $(T_{h,in} - T_{c,in})$.

Defining Effectiveness (ε)

- Effectiveness (ε) is the ratio of actual heat transfer to maximum possible heat transfer.
- $\varepsilon = q_{\text{actual}} / q_{\text{max}}$
- Effectiveness is dimensionless and $0 \leq \varepsilon \leq 1$.
- $q_{\text{actual}} = \varepsilon * C_{\text{min}} * (T_{\text{h,in}} - T_{\text{c,in}})$

Calculating Actual Heat Transfer

- Once q_{actual} is found via effectiveness, outlet temps are easy:
- $q_{\text{actual}} = C_h * (T_{h,\text{in}} - T_{h,\text{out}})$
- $T_{h,\text{out}} = T_{h,\text{in}} - (q_{\text{actual}} / C_h)$
- $q_{\text{actual}} = C_c * (T_{c,\text{out}} - T_{c,\text{in}})$
- $T_{c,\text{out}} = T_{c,\text{in}} + (q_{\text{actual}} / C_c)$

How to find Effectiveness?

- Effectiveness depends on the geometry of the heat exchanger and two dimensionless groups.
- $\varepsilon = \text{function}(\text{NTU}, c, \text{flow arrangement})$
- We need to define NTU and c to use the formulas and charts.

Number of Transfer Units (NTU)

- NTU is a dimensionless parameter indicating the 'size' or heat transfer capability.
- $NTU = (U * A) / C_{min}$
- Higher NTU means a larger heat exchanger (more Area or better U).
- Practically, for $NTU \geq 3$, the size of the heat exchanger grows massively for very small gains in effectiveness.

Capacity Ratio (c)

- Capacity ratio ' c ' is the ratio of the minimum to maximum heat capacity rates.
- $c = C_{\min} / C_{\max}$
- Since $C_{\min} \leq C_{\max}$, the ratio c must be: $0 \leq c \leq 1$.
- If $c = 0$, one fluid is undergoing phase change ($C_{\max} = \text{infinity}$).
- If $c = 1$, both fluids have the same capacity rate (balanced flow).

Effectiveness Formulas Preview

- Parallel Flow: $\varepsilon = [1 - \exp(-NTU*(1+c))] / (1+c)$
- Counter Flow ($c \neq 1$): $\varepsilon = [1 - \exp(-NTU*(1-c))] / [1 - c*\exp(-NTU*(1-c))]$
- Counter Flow ($c=1$): $\varepsilon = NTU / (1 + NTU)$
- These are standard formulas provided in data books.

Summary & Next Lecture

- LMTD requires iteration for performance problems.
- $q_{\max}$ occurs when the $C_{\min}$ fluid reaches the opposite inlet temperature.
- Effectiveness $\varepsilon = q_{\text{actual}} / q_{\max}$.
- ε depends on NTU ($UA/C_{\min}$) and c ($C_{\min}/C_{\max}$).
- Next Lecture: Using Effectiveness charts and solving numerical problems.

Effectiveness of Heat Exchanger: Applications

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat Exchanger
- Lecture 36: Applying the NTU Method

Lecture Agenda

- 1. Reading Effectiveness-NTU Charts
- 2. Special Case: Phase Change ($c = 0$)
- 3. Special Case: Balanced Flow ($c = 1$)
- 4. Step-by-Step: Performance Problems
- 5. Step-by-Step: Design/Sizing Problems
- 6. Unit 4 Summary

Effectiveness-NTU Charts

- Instead of complex formulas, engineers often use charts.
- X-axis: NTU (Number of Transfer Units)
- Y-axis: Effectiveness (ϵ , from 0 to 1 or 0 to 100%)
- Curves: Different values of capacity ratio 'c' (from 0 to 1).

Chart Observations

- For a given NTU and given flow type, effectiveness increases as ' c ' decreases.
- Maximum effectiveness always occurs at $c = 0$.
- For NTU ≥ 3 , the curves flatten out.
- Increasing NTU (making the exchanger larger/more expensive) yields diminishing returns in performance.

Special Case: Phase Change ($c = 0$)

- For condensers and evaporators, $C_{\max} = \text{infinity}$, so $c = 0$.
- Looking at the formulas or charts, the flow arrangement (parallel vs counter) disappears from the math.
- $\varepsilon = 1 - \exp(-NTU)$ (for all flow arrangements!)
- This perfectly matches our conclusion from the LMTD method.

Special Case: Balanced Flow ($c = 1$)

- If $C_{\min} = C_{\max}$, then $c = 1$.
- Example: Gas-to-gas heat recovery with equal flow rates.
- Parallel Flow: $\varepsilon = 0.5 * [1 - \exp(-2*NTU)]$ (Max $\varepsilon = 50\%$)
- Counter Flow: $\varepsilon = NTU / (1 + NTU)$ (Max ε approaches 100%)
- This proves counter flow is vastly superior for balanced flows.

Solving Performance Problems

- Goal: Find outlet temps when Area (A) is given.
- 1. Calculate C_h , C_c . Determine $C_{\min}$, $C_{\max}$, and c .
- 2. Calculate $NTU = UA / C_{\min}$.
- 3. Find ε using formulas or charts.
- 4. Calculate $q_{\max} = C_{\min} * (T_{h,in} - T_{c,in})$.
- 5. Calculate actual $q = \varepsilon * q_{\max}$.
- 6. Calculate $T_{h,out}$ and $T_{c,out}$ using q .

Solving Design (Sizing) Problems

- Goal: Find Area (A) when inlet and outlet temps are known.
- 1. Calculate C_h , C_c . Determine $C_{\min}$, $C_{\max}$, and c .
- 2. Calculate actual q , and $q_{\max}$.
- 3. Calculate required $\varepsilon = q / q_{\max}$.
- 4. Find required NTU using charts (or inverted formulas).
- 5. Calculate Area = $(NTU * C_{\min}) / U$.

Example Problem: Performance

- A counter-flow exchanger has $U = 200 \text{ W/m}^2\text{K}$, $A = 10 \text{ m}^2$.
- Hot water: 2 kg/s , $c_p = 4180 \text{ J/kgK}$, inlet = 90°C .
- Cold water: 1 kg/s , $c_p = 4180 \text{ J/kgK}$, inlet = 20°C .
- Step 1: $C_h = 8360 \text{ W/K}$. $C_c = 4180 \text{ W/K}$. $C_{\min} = C_c = 4180$.
- Step 2: $c = 4180/8360 = 0.5$.

Example Problem: Solution

- Step 3: $NTU = UA/C_{\min} = (200 \cdot 10)/4180 = 0.478$.
- Step 4: Use chart or formula for Counter flow ($NTU=0.478$, $c=0.5$) - $\epsilon \approx 0.35$
- Step 5: $q_{\max} = 4180 \cdot (90 - 20) = 292,600 \text{ W}$
- Step 6: $q_{\text{actual}} = 0.35 \cdot 292,600 = 102,410 \text{ W}$
- Step 7: $T_{c,\text{out}} = 20 + 102,410/4180 = 44.5^\circ\text{C}$

Choosing Between Methods

- When to use LMTD:
 - - Sizing a new heat exchanger (finding Area).
 - - All inlet and outlet temperatures are known or easily found.
- When to use Effectiveness-NTU:
 - - Evaluating an existing heat exchanger.
 - - Finding outlet temperatures.
 - - Comparing different heat exchanger designs (ϵ is a great metric).

Unit 4 Summary

- Unit 4 covered the analysis of heat exchangers.
- We learned basic classifications (parallel, counter, cross flow).
- We defined the Overall Heat Transfer Coefficient (U) and fouling.
- We derived and applied the LMTD method.
- We defined Effectiveness, NTU, and applied the NTU method.

Next Unit Preview

- Unit 5: Mass Transfer
- We will move away from moving heat, and look at moving mass.
- Topics will include Fick's Law of Diffusion, concentration gradients, and modes of mass transfer.
- Prepare by reviewing concentration definitions (molarity, mass fraction).

Concept of Heat Pipe

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat exchanger
- Lecture 37: Concept of Heat Pipe

Lecture Agenda

- 1. Introduction to Heat Pipes
- 2. Working Principle
- 3. Components of a Heat Pipe
- 4. Working Fluid Selection
- 5. Capillary Action and Wick Structures
- 6. Advantages and Limitations
- 7. Applications

What is a Heat Pipe?

- A heat pipe is a highly efficient heat-transfer device that combines the principles of both thermal conductivity and phase transition.
- It can transfer heat between two solid interfaces efficiently.
- It has an effective thermal conductivity much higher than solid conductors like copper or aluminum.
- Operates passively without any moving parts or external power supply.

Working Principle

- Heat is applied to the evaporator section, causing the working fluid to vaporize.
- The vapor pressure drives the vapor through the adiabatic section to the condenser.
- At the condenser, heat is removed, and the vapor condenses back into a liquid.
- The liquid returns to the evaporator via capillary action in the wick structure.

Components of a Heat Pipe

- 1. Container: Provides structural integrity and isolates the working fluid from the outside environment.
- 2. Working Fluid: Absorbs and releases latent heat. Chosen based on operating temperature.
- 3. Wick Structure: Provides the capillary pumping pressure to return the liquid to the evaporator.

Working Fluid Selection

- Selection depends heavily on the operating temperature range.
- Cryogenic: Helium, Nitrogen (0-200 K)
- Room Temperature: Water, Ammonia, Methanol (200-500 K)
- Liquid Metals: Sodium, Potassium, Lithium (500-1500 K)
- Properties desired: High latent heat, high surface tension, high thermal conductivity, and good thermal stability.

Wick Structures and Capillary Action

- The wick is responsible for pumping the condensed liquid back to the evaporator against gravity or friction.
- Types of Wicks:
 - - Sintered Metal Powder: Excellent capillary force, can work against gravity.
 - - Grooved Tube: Low capillary force but low flow resistance; best for horizontal use.
 - - Screen Mesh: Balance between capillary force and permeability.

Heat Pipe Limitations

- Capillary Limit: Wick cannot pump liquid back fast enough (dry out).
- Sonic Limit: Vapor velocity reaches the speed of sound, choking the flow.
- Entrainment Limit: High vapor velocity strips liquid droplets from the wick.
- Boiling Limit: Film boiling occurs in the evaporator, blocking liquid flow.

Advantages of Heat Pipes

- Very high effective thermal conductivity.
- Passive operation (no moving parts, zero maintenance).
- Isothermal operation over long distances.
- Lightweight and compact compared to solid metal conductors.
- Flexible geometries (can be bent or flattened).

Applications: Electronics Cooling

- Laptops and PCs: Moving heat from CPU/GPU to the cooling fan at the edge.
- Smartphones: Ultra-thin vapor chambers (flattened heat pipes) for processor cooling.
- Power Electronics: Cooling high-power transistors and LEDs.

Applications: Aerospace & Industrial

- Aerospace: Thermal control of satellites, moving heat from sun-facing side to shaded radiators.
- HVAC: Energy recovery ventilators (air-to-air heat exchangers).
- Industrial: Waste heat recovery systems, nuclear reactors.

Summary

- Heat pipes transfer heat efficiently using evaporation and condensation.
- They consist of a sealed container, working fluid, and wick structure.
- They operate passively with very high effective thermal conductivity.
- Widely used in electronics, aerospace, and energy recovery.

Next Lecture Preview

- Topic: Compact Heat Exchangers
- Key questions to ponder:
 - - What makes a heat exchanger 'compact'?
 - - Where are compact heat exchangers used, and why are they necessary?

Compact Heat Exchangers

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat exchanger
- Lecture 38: Compact Heat Exchangers

Lecture Agenda

- 1. Introduction and Definition
- 2. Why Use Compact Heat Exchangers?
- 3. Classification and Types
- 4. Plate-Fin Heat Exchangers
- 5. Tube-and-Fin Heat Exchangers
- 6. Pressure Drop Considerations
- 7. Applications

Definition of a Compact Heat Exchanger

- A compact heat exchanger is defined by a high area density (ratio of heat transfer surface area to its volume, denoted by β).
- General criteria:
 - $\beta = \text{Area} / \text{Volume} \geq 700 \text{ m}^2/\text{m}^3$ (for gas-to-fluid).
 - $\beta \geq 400 \text{ m}^2/\text{m}^3$ (for liquid or phase-change applications).
 - They incorporate extended surfaces (fins) to achieve this high density.

Why Use Compact Heat Exchangers?

- Gas flows have low convection heat transfer coefficients (h).
- To achieve a desired heat transfer rate ($Q = h * A * \Delta T$), a large area (A) is required.
- Space and weight are often severely constrained (e.g., aerospace, automotive).
- Compact designs provide massive area within a small footprint, compensating for the low ' h ' of gases.

Classification and Types

- Compact heat exchangers are typically cross-flow types and are classified mainly by their construction:
- 1. Plate-Fin Heat Exchangers (PFHE)
- 2. Tube-and-Fin Heat Exchangers
- 3. Printed Circuit Heat Exchangers (PCHE)
- 4. Regenerators (Rotary matrix)

Plate-Fin Heat Exchangers (PFHE)

- Constructed from alternating layers of corrugated fins and flat separator plates.
- Fluids flow through the passages created by the fins.
- Allows for multiple fluid streams (3 or more) in a single unit.
- Primarily made of brazed aluminum for lightweight and good conductivity.

Types of Fins in PFHE

- Fin geometry dictates heat transfer enhancement and flow friction.
- 1. Plain Fins: Straight channels, low friction, lower heat transfer.
- 2. Wavy Fins: Induce swirling, better heat transfer.
- 3. Offset Strip / Serrated Fins: Break boundary layers, very high heat transfer, high pressure drop.
- 4. Louvered Fins: Slices in the fin direct flow, very common in radiators.

Tube-and-Fin Heat Exchangers

- Consist of round or flat tubes with continuous fins attached to the outside.
- Typically used when one fluid is a liquid (in the tube) and the other is a gas (across the fins).
- Flat tubes offer less aerodynamic drag on the gas side compared to round tubes.

Pressure Drop and Pumping Power

- Trade-off: Increasing surface density and boundary layer interruption increases heat transfer but also increases flow friction.
- Higher pressure drop means a larger fan or pump is required.
- Pumping power costs can exceed the material costs of the exchanger over its lifetime.
- Optimization is required to balance Colburn j -factor (heat transfer) and Friction factor (f).

Applications: Automotive & HVAC

- Automotive: Radiators, charge air coolers (intercoolers), oil coolers, AC condensers.
- HVAC: Air conditioning evaporator and condenser coils, heat recovery wheels.
- Electronics: CPU/GPU heatsinks (fin arrays).

Applications: Aerospace & Cryogenics

- Aerospace: Environmental control systems, engine oil coolers.
- Cryogenics: Air separation plants, liquefaction of natural gas (LNG).
- Cryogenic PFHEs can achieve temperature approaches of less than 2°C between streams.

Summary

- Compact heat exchangers have area densities $> 700 \text{ m}^2/\text{m}^3$.
- They are essential for gas-flow applications where 'h' is low.
- Plate-fin and tube-fin are the most common configurations.
- Design requires a careful balance between heat transfer performance and pressure drop.

Next Lecture Preview

- Topic: Numerical Examples on Heat Exchangers (Part 1)
- Key questions to ponder:
 - - How do we apply the LMTD method to solve real-world problems?
 - - What are the step-by-step procedures for parallel and counter-flow designs?

Numerical examples on Heat Exchangers (Part 1)

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat exchanger
- Lecture 39: Numerical examples (LMTD Method)

Lecture Agenda

- 1. Problem Solving Strategy
- 2. Recap of Key Formulas (Energy Balance & LMTD)
- 3. Example 1: Parallel Flow Heat Exchanger
- 4. Example 2: Counter Flow Heat Exchanger
- 5. Comparison and Discussion

Problem Solving Strategy

- Step 1: Identify the flow arrangement (Parallel, Counter, Cross, etc.).
- Step 2: Note down given values (mass flow rates, specific heats, inlet/outlet temperatures, U).
- Step 3: Perform an overall energy balance to find any missing temperatures: $Q = m_h C_{ph} \Delta T_h = m_c C_{pc} \Delta T_c$.
- Step 4: Calculate temperature differences at both ends (ΔT_1 , ΔT_2).
- Step 5: Calculate LMTD (ΔT_m).
- Step 6: Use $Q = U * A * \Delta T_m$ to find the unknown (Area, U , or Q).

Recap of Key Formulas

- Energy Balance: $Q = m_h * C_{ph} * (T_{h,in} - T_{h,out}) = m_c * C_{pc} * (T_{c,out} - T_{c,in})$
- Heat Transfer Rate: $Q = U * A * \Delta T_{lm}$
- LMTD Formula: $\Delta T_{lm} = (\Delta T_1 - \Delta T_2) / \ln(\Delta T_1 / \Delta T_2)$
- For Parallel Flow:
 - - $\Delta T_1 = T_{h,in} - T_{c,in}$
 - - $\Delta T_2 = T_{h,out} - T_{c,out}$
- For Counter Flow:
 - - $\Delta T_1 = T_{h,in} - T_{c,out}$
 - - $\Delta T_2 = T_{h,out} - T_{c,in}$

Example 1: Problem Statement (Parallel Flow)

- Water ($C_p = 4.18 \text{ kJ/kg.K}$) enters a parallel flow heat exchanger at 15°C at a rate of 0.5 kg/s .
- It is heated by hot oil ($C_p = 2.1 \text{ kJ/kg.K}$) entering at 100°C at a rate of 0.8 kg/s .
- The overall heat transfer coefficient is $350 \text{ W/m}^2.\text{K}$.
- The outlet temperature of the oil is 60°C .
- Find: (a) The rate of heat transfer, (b) Outlet temperature of water, (c) The surface area of the heat exchanger.

Example 1: Solution Step 1 & 2

- Step 1: Calculate Heat Transfer Rate (Q)
- $Q = m_{\text{oil}} * C_{p,\text{oil}} * (T_{\text{oil,in}} - T_{\text{oil,out}})$
- $Q = 0.8 \text{ kg/s} * 2.1 \text{ kJ/kg.K} * (100 - 60) \text{ K}$
- $Q = 0.8 * 2.1 * 40 = 67.2 \text{ kW (or 67,200 W)}$
-
- Step 2: Find Outlet Temperature of Water ($T_{\text{c,out}}$)
- $Q = m_{\text{water}} * C_{p,\text{water}} * (T_{\text{c,out}} - T_{\text{c,in}})$
- $67.2 = 0.5 * 4.18 * (T_{\text{c,out}} - 15)$
- $T_{\text{c,out}} - 15 = 67.2 / 2.09 = 32.15^{\circ}\text{C}$
- $T_{\text{c,out}} = 47.15^{\circ}\text{C}$

Example 1: Solution Step 3 & 4

- Step 3: Calculate ΔT_1 and ΔT_2 for Parallel Flow
- $\Delta T_1 = T_{h,in} - T_{c,in} = 100 - 15 = 85^\circ\text{C}$
- $\Delta T_2 = T_{h,out} - T_{c,out} = 60 - 47.15 = 12.85^\circ\text{C}$
-
- Step 4: Calculate LMTD
- $\Delta T_{lm} = (85 - 12.85) / \ln(85 / 12.85)$
- $\Delta T_{lm} = 72.15 / \ln(6.615)$
- $\Delta T_{lm} = 72.15 / 1.889 = 38.19^\circ\text{C}$

Example 1: Final Calculation

- Step 5: Calculate Surface Area (A)
- Formula: $Q = U * A * \Delta T_{lm}$
- Rearranging for A: $A = Q / (U * \Delta T_{lm})$
- Substitute values (ensure Q is in Watts):
- $A = 67,200 \text{ W} / (350 \text{ W/m}^2\cdot\text{K} * 38.19 \text{ K})$
- $A = 67,200 / 13366.5$
- Area (A) = 5.03 m^2

Example 2: Problem Statement (Counter Flow)

- Let's use the same fluids, temperatures, and flow rates, but arrange the exchanger in Counter Flow.
- Oil: Inlet = 100°C , Outlet = 60°C . Q is still 67.2 kW.
- Water: Inlet = 15°C , Outlet = 47.15°C .
- $U = 350 \text{ W/m}^2\cdot\text{K}$
- Find: The surface area of the heat exchanger in counter-flow arrangement.
- Compare this area to the parallel flow case.

Example 2: LMTD Calculation

- Calculate ΔT_1 and ΔT_2 for Counter Flow:

- $\Delta T_1 = T_{h,in} - T_{c,out}$

- $\Delta T_1 = 100 - 47.15 = 52.85^\circ\text{C}$

-

- $\Delta T_2 = T_{h,out} - T_{c,in}$

- $\Delta T_2 = 60 - 15 = 45^\circ\text{C}$

-

- Calculate LMTD:

- $\Delta T_{lm} = (52.85 - 45) / \ln(52.85 / 45)$

- $\Delta T_{lm} = 7.85 / \ln(1.174)$

- $\Delta T_{lm} = 7.85 / 0.1608 = 48.81^\circ\text{C}$

Example 2: Final Area & Comparison

- Calculate Area:
- $A = Q / (U * \Delta T_{lm})$
- $A = 67,200 / (350 * 48.81)$
- $A = 67,200 / 17083.5 = 3.93 \text{ m}^2$
-
- Comparison:
- Parallel Flow Area = 5.03 m^2
- Counter Flow Area = 3.93 m^2
- Counter-flow requires ~22% less surface area for the exact same duty.

Summary

- The LMTD method is straightforward when inlet and outlet temperatures are known or easily found via energy balance.
- Care must be taken to define ΔT_1 and ΔT_2 correctly based on the flow arrangement.
- Counter-flow configurations always yield a higher LMTD than parallel-flow for the same temperatures, resulting in a smaller required area.

Next Lecture Preview

- Topic: Numerical Examples on Heat Exchangers (Part 2)
- Key topics:
 - - What happens when we don't know the outlet temperatures?
 - - Introduction to the Effectiveness-NTU method for problem-solving.
 - - Solving cross-flow and shell-and-tube problems.

Numerical examples on Heat Exchangers (Part 2)

- Course: Heat and Mass Transfer (DI05019071)
- Unit 4: Heat exchanger
- Lecture 40: Numerical examples (NTU Method & Cross-Flow)

Lecture Agenda

- 1. When to use LMTD vs. NTU Method
- 2. Recap of Effectiveness-NTU Formulas
- 3. Example 3: Performance Prediction (NTU Method)
- 4. Recap of Multipass Correction Factor (F)
- 5. Example 4: Shell and Tube Exchanger with ' F ' Factor

LMTD vs. Effectiveness-NTU Method

- LMTD Method is best for SIZING problems:
 - - You know inlet and outlet temperatures.
 - - You need to find the required Surface Area (A).
 -
- Effectiveness-NTU Method is best for RATING/PERFORMANCE problems:
 - - You know the Surface Area (A) and inlet temperatures.
 - - You need to predict outlet temperatures and Heat Transfer Rate (Q).

Recap: Effectiveness-NTU Formulas

- Heat Capacity Rates: $C_h = m_h * C_{p,h}$, $C_c = m_c * C_{p,c}$
- Identify C_{min} (the smaller of C_h and C_c) and C_{max} .
- Capacity Ratio: $c = C_{min} / C_{max}$
- Maximum possible heat transfer: $Q_{max} = C_{min} * (T_{h,in} - T_{c,in})$
- Number of Transfer Units (NTU) = $(U * A) / C_{min}$
- Effectiveness (ϵ) = Q_{actual} / Q_{max}
- ϵ is found from charts or formulas based on NTU, c , and flow arrangement.

Example 3: Problem Statement (NTU Method)

- A counter-flow heat exchanger has a surface area of 10 m^2 and $U = 200 \text{ W/m}^2\cdot\text{K}$.
- Hot fluid ($C_p = 2.0 \text{ kJ/kg}\cdot\text{K}$) enters at 120°C at 1.0 kg/s .
- Cold fluid ($C_p = 4.18 \text{ kJ/kg}\cdot\text{K}$) enters at 20°C at 0.5 kg/s .
- Find: The rate of heat transfer and the outlet temperatures.

Example 3: Capacity Rates and NTU

- Step 1: Calculate Capacity Rates
- $C_h = 1.0 \text{ kg/s} * 2000 \text{ J/kg.K} = 2000 \text{ W/K}$
- $C_c = 0.5 \text{ kg/s} * 4180 \text{ J/kg.K} = 2090 \text{ W/K}$
- Therefore, $C_{\min} = C_h = 2000 \text{ W/K}$.
- Capacity ratio (c) = $C_{\min} / C_{\max} = 2000 / 2090 = 0.957$
-
- Step 2: Calculate NTU
- $NTU = (U * A) / C_{\min} = (200 * 10) / 2000 = 2000 / 2000 = 1.0$

Example 3: Effectiveness and Q_{actual}

- Step 3: Find Effectiveness (ε) for Counter Flow
- Formula for Counter Flow: $\varepsilon = [1 - \exp(-NTU*(1-c))] / [1 - c*\exp(-NTU*(1-c))]$
- $\varepsilon = [1 - \exp(-1*(1-0.957))] / [1 - 0.957*\exp(-1*(1-0.957))]$
- $\varepsilon = [1 - \exp(-0.043)] / [1 - 0.957*\exp(-0.043)]$
- $\varepsilon = [1 - 0.9579] / [1 - 0.957(0.9579)] = 0.0421 / (1 - 0.9167) = 0.505$ (or 50.5%)
-
- Step 4: Calculate Q_{max} and Q_{actual}
- $Q_{\text{max}} = C_{\text{min}} * (T_{\text{h,in}} - T_{\text{c,in}}) = 2000 * (120 - 20) = 200,000 \text{ W} = 200 \text{ kW}$
- $Q_{\text{actual}} = \varepsilon * Q_{\text{max}} = 0.505 * 200 \text{ kW} = 101 \text{ kW}$

Example 3: Outlet Temperatures

- Step 5: Calculate Outlet Temperatures
- From $Q = C_h * (T_{h,in} - T_{h,out})$
- $101,000 = 2000 * (120 - T_{h,out})$
- $50.5 = 120 - T_{h,out} \Rightarrow T_{h,out} = 69.5^{\circ}\text{C}$
-
- From $Q = C_c * (T_{c,out} - T_{c,in})$
- $101,000 = 2090 * (T_{c,out} - 20)$
- $48.33 = T_{c,out} - 20 \Rightarrow T_{c,out} = 68.33^{\circ}\text{C}$

Recap: Multipass Correction Factor (F)

- For Cross-Flow and Multipass Shell-and-Tube exchangers, the flow is neither pure parallel nor pure counter-flow.
- We calculate LMTD assuming pure counter-flow, then apply a correction factor (F).
- $Q = U * A * F * \Delta T_{lm,CF}$
- F is obtained from charts based on parameters P and R:
 - - $P = (t_2 - t_1) / (T_1 - t_1)$ (Effectiveness parameter)
 - - $R = (T_1 - T_2) / (t_2 - t_1)$ (Capacity ratio parameter)

Example 4: Problem Statement (Shell & Tube)

- A 1-shell pass, 2-tube pass heat exchanger is used to heat water in tubes from 20°C to 70°C .
- Hot oil in the shell cools from 140°C to 90°C .
- Total heat transfer rate $Q = 150 \text{ kW}$.
- $U = 400 \text{ W/m}^2\cdot\text{K}$.
- Find the required surface area.

Example 4: Solution

- Step 1: Calculate LMTD for Counter Flow
- $\Delta T_1 = 140 - 70 = 70^\circ\text{C}$, $\Delta T_2 = 90 - 20 = 70^\circ\text{C}$
- Since $\Delta T_1 = \Delta T_2$, $\text{LMTD} = 70^\circ\text{C}$.
-
- Step 2: Find P and R parameters
- Let tube fluid be lower case (t), shell fluid be upper case (T).
- $P = (70 - 20) / (140 - 20) = 50 / 120 = 0.417$
- $R = (140 - 90) / (70 - 20) = 50 / 50 = 1.0$
-
- Step 3: From chart for 1-2 exchanger, at $P=0.417$, $R=1.0$, $F \approx 0.85$

Example 4: Final Area Calculation

- Step 4: Calculate Area
- Formula: $Q = U * A * F * \Delta T_{lm}$
- $150,000 \text{ W} = 400 \text{ W/m}^2\cdot\text{K} * A * 0.85 * 70 \text{ K}$
- $150,000 = A * (400 * 0.85 * 70)$
- $150,000 = A * 23,800$
- $\text{Area} = 150,000 / 23,800 = 6.30 \text{ m}^2$

Summary & Unit 4 Conclusion

- NTU Method is essential when outlet temperatures are unknown (rating/performance problems).
- Correction factor (F) is mandatory for multipass and cross-flow designs when using LMTD.
- This concludes Unit 4: Heat Exchangers. You should now be able to analyze and size various heat transfer equipment.

Modes of Mass Transfer

- Course: Heat and Mass Transfer (DI05019071)
- Unit 5: Mass Transfer
- Lecture 41: Modes of Mass Transfer

Lecture Agenda

- 1. Introduction to Mass Transfer
- 2. Driving Force for Mass Transfer
- 3. Everyday Examples
- 4. Molecular Diffusion
- 5. Convective Mass Transfer
- 6. Analogy between Heat and Mass Transfer

What is Mass Transfer?

- Mass transfer is the net movement of mass from one location, usually meaning a stream, phase, fraction or component, to another.
- It occurs in mixtures containing two or more chemical species.
- Mass transfer occurs whenever there is a difference in the concentration of a species in a mixture.

The Driving Force for Mass Transfer

- The driving force for heat transfer is a temperature gradient.
- The driving force for mass transfer is a **concentration gradient**.
- Species in a mixture will naturally move from a region of higher concentration to a region of lower concentration.
- The system tends to reach a state of equilibrium where concentration is uniform.

Everyday Examples of Mass Transfer

- Dissolving sugar in a cup of coffee.
- Drying of wet clothes (water evaporating into the air).
- Spreading of a perfume scent in a room.
- Oxygen dissolving into blood in the lungs.
- Sweating to cool the human body.

Modes of Mass Transfer: Overview

- Similar to heat transfer, mass transfer can occur in different modes:
- 1. **Molecular Diffusion**: Mass transfer by random molecular motion in a stagnant fluid or solid.
- 2. **Convective Mass Transfer**: Mass transfer between a boundary surface and a moving fluid or between two immiscible moving fluids.

Molecular Diffusion

- Analogous to heat conduction.
- Occurs in stationary fluids (gases and liquids) or solid mediums.
- Transport occurs due to the random thermal motion of molecules.
- Governed by Fick's Law of Diffusion.

Convective Mass Transfer

- Analogous to heat convection.
- Involves the transport of mass between a boundary surface (solid or liquid) and a moving fluid.
- Relies on the bulk motion of the fluid.
- Can be classified into:
 - - Free (natural) convective mass transfer
 - - Forced convective mass transfer

Analogy between Heat and Mass Transfer

- Many mass transfer concepts can be mapped directly to heat transfer:
- **Heat Transfer** — **Mass Transfer**
- Temperature Gradient (dT/dx) — Concentration Gradient (dC/dx)
- Heat Conduction — Molecular Diffusion
- Heat Convection — Convective Mass Transfer
- Fourier's Law — Fick's Law
- Thermal Conductivity (k) — Diffusion Coefficient (D)

Key Differences

- Despite the strong analogy, there are key differences:
- 1. No mass transfer equivalent to thermal radiation.
- 2. Mass transfer strictly requires a mixture; heat transfer can occur in a pure substance.
- 3. High rates of mass transfer can induce a velocity at the fluid-solid boundary, altering the flow field (unlike heat transfer where the boundary is impermeable).

Summary

- Mass transfer is driven by a concentration gradient.
- The two main modes are molecular diffusion (analogous to conduction) and convective mass transfer (analogous to convection).
- Molecular diffusion relies on random microscopic motion, whereas convective mass transfer relies on bulk fluid flow.
- The heat and mass transfer analogy provides a strong foundation for understanding mass transfer equations.

Next Lecture Preview

- Topic: Concentrations, Velocities, and Fluxes
- Key questions to ponder:
 - - How do we mathematically define the concentration of a mixture?
 - - What is the difference between mass concentration and molar concentration?
 - - How do we define the average velocity of a mixture where different species are moving at different speeds?

Concentrations, Velocities and Fluxes

- Course: Heat and Mass Transfer (DI05019071)
- Unit 5: Mass Transfer
- Lecture 42: Concentrations, Velocities and Fluxes

Lecture Agenda

- 1. Mixture Composition
- 2. Mass Concentration (Density)
- 3. Molar Concentration
- 4. Mass and Mole Fractions
- 5. Mixture Velocities
- 6. Mass and Molar Fluxes

Mixture Composition: An Overview

- To describe mass transfer mathematically, we must quantify the composition of a mixture.
- A mixture consists of two or more chemical species (e.g., Species A, Species B, Species C, ...).
- The concentration of a species can be expressed in two primary ways:
 - 1. On a mass basis (Mass Concentration)
 - 2. On a molar basis (Molar Concentration)

Mass Concentration (Partial Density)

- Mass concentration (ρ_A) of species A is the mass of species A per unit volume of the mixture.
- $\rho_A = m_A / V$ (Unit: kg/m^3)
- The total mass density (ρ) of the mixture is the sum of the mass concentrations of all species:
- $\rho = \rho_A + \rho_B + \dots + \rho_n = \sum(\rho_i)$

Molar Concentration

- Molar concentration (C_A) of species A is the number of moles of species A per unit volume.
- $C_A = n_A / V$ (Unit: kmol/m^3 or mol/L)
- The total molar concentration (C) of the mixture is the sum of the molar concentrations of all species:
- $C = C_A + C_B + \dots + C_n = \sum(C_i)$
- Relation between mass and molar concentration: $\rho_A = C_A * M_A$ (where M_A is molecular weight).

Mass and Mole Fractions

- Mass Fraction (m_A): The ratio of the mass concentration of species A to the total density.
- $m_A = \rho_A / \rho$ (Note: $\sum(m_i) = 1$)
- Mole Fraction (x_A or y_A): The ratio of the molar concentration of species A to the total molar concentration.
- $x_A = C_A / C$ (Note: $\sum(x_i) = 1$)
- Note: x_A is typically used for liquids/solids, y_A for gases.

Mixture Velocity

- In a moving mixture, each species may have its own absolute velocity (v_i).
- The overall velocity of the mixture can be defined in two ways:
 1. Mass-average velocity (v)
 2. Molar-average velocity (V)
- This is important because mass transfer involves movement relative to the bulk fluid motion.

Mass and Molar Average Velocities

- **Mass-Average Velocity (v)**:
- $v = \Sigma(\rho_i * v_i) / \rho$
- It is the velocity based on the momentum of the mixture.
- **Molar-Average Velocity (V)**:
- $V = \Sigma(C_i * v_i) / C$
- It is the velocity based on the molar flow of the mixture.

Concept of Diffusion Velocity

- The velocity of a species relative to the bulk mixture velocity is its **diffusion velocity**.
- Mass diffusion velocity of species A = $(v_A - v)$
- Molar diffusion velocity of species A = $(v_A - V)$
- Diffusion velocity tells us how fast a species is moving purely due to concentration gradients, independent of the bulk flow.

Mass and Molar Fluxes

- **Flux** is the amount of species transferred per unit area per unit time.
- **Absolute Mass Flux (n_A)** $= \rho_A * v_A$ (kg/m²·s)
- **Mass Diffusion Flux (j_A)** $= \rho_A * (v_A - v)$
- **Absolute Molar Flux (N_A)** $= C_A * v_A$ (kmol/m²·s)
- **Molar Diffusion Flux (J_A)** $= C_A * (v_A - V)$
- Relationship: $n_A = j_A + \rho_A * v$

Summary

- Concentration can be expressed by mass (ρ_A) or by moles (C_A).
- Mass fractions (m_A) and mole fractions (x_A) sum to 1.
- A mixture has a mass-average velocity and a molar-average velocity.
- Fluxes can be defined based on absolute velocity or diffusion velocity.
- Total flux = Diffusion flux + Convective (Bulk) flux.

Next Lecture Preview

- Topic: Fick's Law of Diffusion
- Key questions to ponder:
 - - How is diffusion flux mathematically related to the concentration gradient?
 - - What is the physical significance of the diffusion coefficient (diffusivity)?
 - - How does Fick's Law compare to Fourier's Law of heat conduction?

Fick's Law of Diffusion

- Course: Heat and Mass Transfer (DI05019071)
- Unit 5: Mass Transfer
- Lecture 43: Fick's Law

Lecture Agenda

- 1. Introduction to Fick's First Law
- 2. The Mathematical Equation
- 3. Diffusion Coefficient (Diffusivity)
- 4. Characteristics of Diffusivity in different phases
- 5. Fick's Law: Mass vs. Molar Basis
- 6. General Mass Diffusion Equation

Introduction to Fick's First Law

- Proposed by Adolf Fick in 1855.
- It states that the mass diffusion flux of a constituent in a mixture is proportional to the concentration gradient.
- Diffusion always occurs in the direction of decreasing concentration.
- It perfectly mirrors Fourier's Law of heat conduction.

Fick's First Law (Mass Basis)

- The equation for mass diffusion flux (j_A) in the x-direction:
- $j_A = - D_{AB} * (d(\rho_A) / dx)$
- Where:
- - j_A = Mass diffusion flux of species A ($\text{kg}/\text{m}^2\cdot\text{s}$)
- - D_{AB} = Diffusion coefficient (or mass diffusivity) of species A in species B (m^2/s)
- - $d(\rho_A) / dx$ = Concentration gradient of species A (kg/m^4)

Diffusion Coefficient (Diffusivity)

- Denoted as D_{AB} (diffusion of A into B).
- Unit: m^2/s (Same unit as thermal diffusivity, α , and kinematic viscosity, ν).
- It is a property of the specific binary mixture (A and B), the temperature, and the pressure.
- A higher D_{AB} means mass diffuses more rapidly.

Characteristics of Diffusivity

- ****In Gases****: Diffusion is rapid. D_{AB} is relatively high (approx. $10^{-5} \text{ m}^2/\text{s}$). D_{AB} increases with temperature and decreases with pressure.
- ****In Liquids****: Diffusion is much slower due to close molecular packing (approx. $10^{-9} \text{ m}^2/\text{s}$).
- ****In Solids****: Diffusion is extremely slow (approx. $10^{-14} \text{ m}^2/\text{s}$). Depends heavily on the solid structure (crystalline, amorphous).

Fick's Law (Molar Basis)

- Chemical engineers often prefer the molar basis.
- Molar diffusion flux (J_A):
- $J_A = -D_{AB} * (dC_A / dx)$
- Where:
- - J_A = Molar diffusion flux ($\text{kmol}/\text{m}^2\cdot\text{s}$)
- - C_A = Molar concentration (kmol/m^3)
- - dC_A / dx = Molar concentration gradient (kmol/m^4)

Fick's Law using Mass/Mole Fractions

- If total mixture density (ρ) is constant:
- $j_A = - \rho * D_{AB} * (dm_A / dx)$
- If total molar concentration (C) is constant (typical for ideal gas mixtures at constant T and P):
- $J_A = - C * D_{AB} * (dx_A / dx)$
- This form is highly useful in derivation and problem solving.

Total Absolute Flux Equation

- Recall from last lecture: Total Absolute Flux = Diffusion Flux + Bulk Flux
- $n_A = j_A + \rho_A * v$
- Substituting Fick's Law for j_A :
- $n_A = - D_{AB} * (d\rho_A / dx) + \rho_A * v$
- Similarly for Molar Flux:
- $N_A = - D_{AB} * (dC_A / dx) + x_A * (N_A + N_B)$

General Mass Diffusion Equation

- Analogous to the general heat conduction equation (Heat Equation).
- Derived from species conservation over a control volume.
- Governing Equation (1D, stationary medium, no bulk flow):
- $\partial C_A / \partial t = D_{AB} * (\partial^2 C_A / \partial x^2) + R_A$
- (Where R_A is the rate of generation of species A due to chemical reactions).

Summary

- Fick's First Law relates diffusion flux linearly to the concentration gradient.
- The negative sign indicates mass moves from high to low concentration.
- Diffusivity (D_{AB}) depends on the mixture, temperature, and pressure, and is highest in gases.
- The total absolute mass flux includes both Fickian diffusion and bulk fluid motion.

Next Lecture Preview

- Topic: Steady state diffusion through a plain membrane
- Key questions to ponder:
 - - How do we apply Fick's law to a solid membrane?
 - - What happens to the concentration profile across a membrane in steady state?
 - - How can we use the concept of 'mass transfer resistance' to solve problems quickly?

Steady State Diffusion Through a Plain Membrane

- Course: Heat and Mass Transfer (DI05019071)
- Unit 5: Mass Transfer
- Lecture 44: Steady State Diffusion Through a Plain Membrane

Lecture Agenda

- 1. Problem Definition and Assumptions
- 2. The Governing Equation
- 3. Deriving the Concentration Profile
- 4. Mass Transfer Rate Calculation
- 5. The Concept of Mass Transfer Resistance
- 6. Practical Applications

Problem Definition & Assumptions

- ****Scenario****: A species A (e.g., hydrogen gas) diffuses through a plain solid membrane (species B, e.g., a steel plate) of thickness L .
- ****Assumptions****:
 1. One-dimensional mass transfer (x -direction).
 2. Steady-state conditions ($\partial C_A / \partial t = 0$).
 3. No chemical reactions (Generation rate $R_A = 0$).
 4. The solid membrane is stationary (bulk velocity = 0).
 5. Constant diffusion coefficient D_{AB} .

The Governing Equation

- Starting from the general mass diffusion equation:
- $\partial C_A / \partial t = D_{AB} * (\partial^2 C_A / \partial x^2) + R_A$
- Applying assumptions (Steady state, no reaction):
- $0 = D_{AB} * (d^2 C_A / dx^2)$
- Therefore:
- $d^2 C_A / dx^2 = 0$

Concentration Profile Derivation

- Equation: $d^2 C_A / dx^2 = 0$
- Integrating once: $dC_A / dx = C_1$
- Integrating twice: $C_A(x) = C_1 * x + C_2$
- ****Boundary Conditions****:
- At $x = 0$, $C_A = C_{A1}$
- At $x = L$, $C_A = C_{A2}$
- Resulting Profile: $C_A(x) = C_{A1} - (C_{A1} - C_{A2}) * (x / L)$

Rate of Mass Transfer

- Now apply Fick's Law to find the molar transfer rate ($N_A \times \text{Area}$):
- $N_A = -D_{AB} \times (dC_A / dx)$
- From our profile, $dC_A / dx = -(C_{A1} - C_{A2}) / L$
- Therefore, Molar Flux: $N_A = D_{AB} \times (C_{A1} - C_{A2}) / L$
- Total Molar Rate (W_A) in kmol/s for Area A:
- $W_A = N_A \times A = [D_{AB} \times A \times (C_{A1} - C_{A2})] / L$

Mass Transfer Resistance Concept

- Rearranging the rate equation to fit the Ohm's law form (Rate = Driving Force / Resistance):
- $W_A = (C_{A1} - C_{A2}) / R_{\text{mass}}$
- Where the Mass Transfer Resistance for a plain membrane is:
- $R_{\text{mass}} = L / (D_{AB} * A)$
- (Analogy: Thermal resistance of a plane wall $R_{\text{th}} = L / (k * A)$)

Mass Transfer in Composite Membranes

- The resistance concept allows easy analysis of composite (multi-layer) membranes.
- For membranes in series, mass transfer resistances simply add up:
- $R_{\text{total}} = R_{\text{m1}} + R_{\text{m2}} + \dots = L_1/(D_1 \cdot A) + L_2/(D_2 \cdot A) + \dots$
- $W_A = (C_{A,\text{in}} - C_{A,\text{out}}) / R_{\text{total}}$

Practical Application: Gas Diffusion in Solids

- A common scenario is a gas diffusing through a solid container wall (e.g., Helium escaping a balloon, Hydrogen diffusing through steel).
- The concentration of gas at the solid surface (C_{A1}) is determined by ****solubility****.
- Henry's Law (or similar relations) relates the partial pressure of the gas outside to the concentration just inside the solid surface: $C_{A1} = S * p_{A1}$ (where S is solubility).

Example Problem Concept

- ****Problem****: Hydrogen gas is maintained at 3 bar and 1 bar on opposite sides of a plastic membrane 2 mm thick. Determine mass transfer rate.
- ****Steps****:
 1. Convert pressures to surface concentrations (C_{A1} , C_{A2}) using given solubility.
 2. Calculate mass transfer resistance: $R_{\text{mass}} = L / (D_{AB} * A)$
 3. Calculate Rate: $W_A = (C_{A1} - C_{A2}) / R_{\text{mass}}$

Summary

- 1D steady-state diffusion through a plain membrane yields a linear concentration profile.
- The mass transfer rate is analogous to heat conduction through a plane wall.
- Mass Transfer Resistance is defined as $R_{\text{mass}} = L / (D_{AB} * A)$.
- For gas diffusion into solids, boundary concentrations are determined by solubility and partial pressures.

Next Lecture Preview

- Topic: Steady State Equimolar Counter Diffusion & Convective Mass Transfer
- Key questions to ponder:
 - - What happens if two gases are diffusing into each other in opposite directions at the same rate?
 - - How do we analyze mass transfer when the bulk fluid is moving (convection)?
 - - What is the mass transfer coefficient?

Equimolar Counter Diffusion & Convective Mass Transfer

- Course: Heat and Mass Transfer (DI05019071)
- Unit 5: Mass Transfer
- Lecture 45: Equimolar Counter Diffusion & Convective Mass Transfer

Lecture Agenda

- 1. What is Equimolar Counter Diffusion?
- 2. Derivation of Flux for Equimolar Counter Diffusion
- 3. Concentration Profile
- 4. Introduction to Convective Mass Transfer (Topic 5.6)
- 5. The Mass Transfer Coefficient
- 6. Dimensionless Numbers (Sh, Sc, Le)
- 7. Course Wrap-up

Equimolar Counter Diffusion: Concept

- Occurs in binary gas mixtures (A and B) where the molar fluxes of A and B are equal in magnitude but opposite in direction.
- Condition: $N_A = -N_B$
- Therefore, total molar flux $N = N_A + N_B = 0$.
- Physical example: Distillation processes, or two large tanks containing different ideal gases connected by a tube at constant pressure and temperature.

Governing Equation (Equimolar)

- Recall the total absolute molar flux equation:
- $N_A = -D_{AB} * (dC_A / dx) + x_A * (N_A + N_B)$
- Since $N_A = -N_B$, the bulk convective term $(N_A + N_B)$ is zero.
- Thus, $N_A = -D_{AB} * (dC_A / dx)$
- Conclusion: In equimolar counter diffusion, the total absolute flux is exactly equal to the diffusion flux. The bulk mixture is strictly stationary (molar-average velocity $V = 0$).

Flux and Concentration Profile (Equimolar)

- Since N_A is constant (steady state), integrating Fick's law over length L gives:
- $N_A = D_{AB} * (C_{A1} - C_{A2}) / L$
- For ideal gases, $C_A = p_A / (R_u * T)$. Substituting this:
- $N_A = D_{AB} * (p_{A1} - p_{A2}) / (R_u * T * L)$
- The concentration (and partial pressure) profile is linear across the diffusion path.

Convective Mass Transfer (Topic 5.6)

- When mass transfer occurs between a surface and a moving fluid, it involves both diffusion and bulk fluid motion.
- Analogous to convective heat transfer (Newton's Law of Cooling).
- The flow regime (laminar or turbulent) heavily influences the mass transfer rate.
- A concentration boundary layer develops over the surface.

The Mass Transfer Coefficient (h_m)

- Convective mass transfer rate is governed by an equation analogous to Newton's Law of Cooling.
- Rate equation: $N_A = h_m * (C_{A,surface} - C_{A,infinity})$
- Where:
 - - N_A = Molar convective flux ($\text{kmol}/\text{m}^2 \cdot \text{s}$)
 - - h_m = Convective mass transfer coefficient (m/s)
 - - $C_{A,surface}$ = Concentration at the surface
 - - $C_{A,infinity}$ = Free stream concentration

Finding h_m : Dimensionless Numbers

- Like 'h' in heat transfer, ' h_m ' is difficult to calculate analytically. We rely on empirical correlations using dimensionless numbers.
- In heat transfer, we used Nusselt $(Nu) = f(\text{Reynolds}, \text{Prandtl})$.
- In mass transfer, we use Sherwood $(Sh) = f(\text{Reynolds}, \text{Schmidt})$.

Sherwood and Schmidt Numbers

- **Sherwood Number (Sh)**: Ratio of convective mass transfer to mass diffusion.
- $Sh = (h_m * L_c) / D_{AB}$ [Analogue to Nusselt Number]
- **Schmidt Number (Sc)**: Ratio of momentum diffusivity to mass diffusivity.
- $Sc = \nu / D_{AB} = \mu / (\rho * D_{AB})$ [Analogue to Prandtl Number]
- Typical Correlation: $Sh = C * Re^m * Sc^n$

The Lewis Number (Le)

- ****Lewis Number (Le)****: Links heat and mass transfer. It is the ratio of thermal diffusivity to mass diffusivity.
- $Le = \alpha / D_{AB} = Sc / Pr$
- Significance:
 - - If $Le = 1$, thermal and concentration boundary layers grow at the exact same rate.
 - - For many gas mixtures (like water vapor in air), Le is approximately 1, simplifying simultaneous heat and mass transfer analysis.

Summary of Mass Transfer

- Equimolar counter diffusion occurs when two species diffuse in opposite directions at equal molar rates ($N_A = -N_B$).
- Convective mass transfer is analogous to convective heat transfer.
- The mass transfer coefficient (h_m) is determined using the Sherwood and Schmidt dimensionless numbers.
- The heat and mass transfer analogy is a powerful engineering tool.

Course Conclusion

- This concludes the Heat and Mass Transfer course (DI05019071).
- We have covered:
 - - Conduction (Fourier's Law)
 - - Convection (Newton's Law of Cooling)
 - - Radiation (Stefan-Boltzmann Law)
 - - Heat Exchangers
 - - Mass Transfer (Fick's Law)
- Best of luck with your final examinations!